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# Co-degree density of hypergraphs

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#### Abstract

For an r-graph H, let  $\mathcal{C}(H) = \min_S d(S)$ , where the minimum is taken over all (r-1)-sets of vertices of H, and d(S) is the number of vertices v such that  $S \cup \{v\}$  is an edge of H. Given a family  $\mathcal{F}$  of r-graphs, the co-degree Turán number co-ex $(n, \mathcal{F})$  is the maximum of  $\mathcal{C}(H)$  among all r-graphs H which contain no member of  $\mathcal{F}$  as a subhypergraph. Define the co-degree density of a family  $\mathcal{F}$  to be

$$\gamma(\mathcal{F}) = \limsup_{n \to \infty} \frac{\operatorname{co-ex}(n, \mathcal{F})}{n}.$$

When  $r \geqslant 3$ , non-zero values of  $\gamma(\mathcal{F})$  are known for very few finite r-graphs families  $\mathcal{F}$ . Nevertheless, our main result implies that the possible values of  $\gamma(\mathcal{F})$  form a dense set in [0,1). The corresponding problem in terms of the classical Turán density is an old question of Erdős (the jump constant conjecture), which was partially answered by Frankl and Rödl [P. Frankl, V. Rödl, Hypergraphs do not jump, Combinatorica 4 (2–3) (1984) 149–159]. We also prove the existence, by explicit construction, of finite  $\mathcal{F}$  satisfying  $0 < \gamma(\mathcal{F}) < \min_{F \in \mathcal{F}} \gamma(F)$ . This is parallel to recent results on the Turán density by Balogh [J. Balogh, The Turán density of triple systems is not principal, J. Combin. Theory Ser. A 100 (1) (2002) 176–180], and by the first author and Pikhurko [D. Mubayi, O. Pikhurko, Constructions of non-principal families in extremal hypergraph theory, Discrete Math. (Special issue in honor of Miklos Simonovits' 60th birthday), in press]. © 2006 Elsevier Inc. All rights reserved.

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#### 1. Introduction

All hypergraphs discussed in this paper are finite and have no multiple edges. An r-graph H (for  $r \ge 2$ ) is a hypergraph whose edges all have size r. Write V(H) and E(H) for the vertex set and edge set of H, respectively, with e(H) = |E(H)|. The notation  $H_n$  indicates that  $|V(H_n)| = n$ .

### 1.1. The Turán problem and co-degree problem

Given a family  $\mathcal{F}$  of r-graphs, the r-graph  $G_n$  is  $\mathcal{F}$ -free if it contains no member of  $\mathcal{F}$  as a (not necessarily induced) subhypergraph. The  $Tur\acute{a}n$  number ex $(n,\mathcal{F})$  is the maximum of  $e(G_n)$  over all  $\mathcal{F}$ -free r-graphs  $G_n$ . The limit  $\lim_{n\to\infty} \operatorname{ex}(n,\mathcal{F})/\binom{n}{r}$  exists by a standard averaging argument of Katona–Nemetz–Simonovits [18], and is often called the  $Tur\acute{a}n$  density and written  $\pi(\mathcal{F})$ .

Classical extremal graph theory began with Turán's theorem, which determines  $\operatorname{ex}(n,F)$  (and therefore  $\pi(F)$ ) when F is a complete graph. The celebrated Erdős–Simonovits–Stone theorem (**ESS**) [10,12] generalizes Turán's theorem. It states that for any graph F with chromatic number  $\chi(F)$ , and any  $\varepsilon > 0$ , there exists N > 0 such that every graph  $G_n$  with n > N and  $e(G) \geqslant (1+\varepsilon)(1-\frac{1}{\chi(F)-1})\binom{n}{2}$  contains a copy of F. It is easy to see that **ESS** implies that  $\pi(\mathcal{F}) = \min_{F \in \mathcal{F}} 1 - \frac{1}{\chi(F)-1}$  for any graph family  $\mathcal{F}$ .

Although the Turán problems study the largest size of graphs not containing certain subgraphs, Turán's theorem and **ESS** can also be viewed as theorems on the largest possible minimum degree of such graphs. For example, letting  $\delta(G)$  denote minimum degree in a graph G, **ESS** is equivalent to the following statement:

For any graph F and  $\varepsilon > 0$ , there exists N > 0 such that any graph  $G_n$  with n > N and  $\delta(G_n) \ge (1 + \varepsilon)(1 - \frac{1}{\chi(F) - 1})n$  contains a copy of F.

To see this, we first note that an n-vertex graph with minimum degree cn has at least  $c\binom{n}{2}$  edges. On the other hand, given a graph  $G_n$  with  $(c+\varepsilon)\binom{n}{2}$  edges (fixed  $c, \varepsilon > 0$  and large n), we can delete its vertices of small degrees obtaining a subgraph G' on  $m \ge \varepsilon^{1/2}n$  vertices with minimum degree at least cm (see, e.g., [2, p. 121] for details).

In this paper we investigate a corresponding extremal problem on hypergraphs. We must first clarify how to define degree in hypergraphs. If we consider the usual degree d(v) of a vertex v, defined as the number of edges containing v, then (as indicated above) the minimum degree problem is again essentially equivalent to the Turán problem, which is well-studied and known to be extremely hard (see, e.g., [16] for a survey). Therefore we consider another generalization of degree to hypergraphs, called co-degree. Given an r-graph G and a set  $S \subset V(G)$  with |S| = r - 1, we denote by N(S) or  $N_G(S)$  the set of  $v \in V(G)$  such that  $S \cup \{v\} \in E(G)$ . The co-degree of S is  $d(S) = d_G(S) = |N(S)|$ . When  $S = \{v_1, \ldots, v_{r-1}\}$ , we abuse notation by writing  $N(v_1, \ldots, v_{r-1})$  and  $d(v_1, \ldots, v_{r-1})$ . Let  $\mathcal{C}(G) = \min\{d(S): S \subset V(G), |S| = r - 1\}$  denote the minimum co-degree in G, and let  $c(G) = \mathcal{C}(G)/|V(G)|$ .

Co-degree in hypergraphs seems to be the natural extension of degree in graphs for many problems. Two examples are the recent results of Kühn–Osthus [20] and Rödl–Ruciński–Szemerédi [26] who extended Dirac's theorem on Hamilton cycles to 3-graphs, and results by the same sets of authors [21,25] on the minimum co-degree threshold guaranteeing a perfect matching in r-graphs.

The purpose of this paper is to show that, for hypergraphs, the co-degree extremal problem exhibits some different phenomena than the classical Turán problem. Since co-degree reduces to

degree when the uniformity r = 2, our results on co-degree (for all  $r \ge 2$ ) will also reveal some similarities in the graph and hypergraph cases.

**Definition 1.1.** Let  $\mathcal{F}$  be a family of r-graphs. The co-degree Turán number co-ex $(n, \mathcal{F})$  is the maximum of  $\mathcal{C}(G_n)$  over all  $\mathcal{F}$ -free r-graphs  $G_n$ . The co-degree density of  $\mathcal{F}$  is

$$\gamma(\mathcal{F}) := \limsup_{n \to \infty} \frac{\operatorname{co-ex}(n, \mathcal{F})}{n}.$$

**Remark.** Strictly speaking, one should divide by n-(r-1) instead of n in the definition of  $\gamma(\mathcal{F})$ . However, since r is fixed and  $n \to \infty$ , this will not change any of our results on  $\gamma(\mathcal{F})$ , and so we prefer the technically simpler version above.

The argument in [18] shows that  $\exp(n,\mathcal{F})/\binom{n}{r}$  is non-increasing in n, and therefore one obtains that  $\pi(\mathcal{F}) = \lim_{n \to \infty} \exp(n,\mathcal{F})/\binom{n}{r}$  exists. Although we could not prove that  $\operatorname{co-ex}(n,\mathcal{F})/n$  (or  $\operatorname{co-ex}(n,\mathcal{F})/(n-r+1)$ ) is non-increasing, we do prove that  $\lim_{n \to \infty} \operatorname{co-ex}(n,\mathcal{F})/n$  exists.

**Proposition 1.2.**  $\gamma(\mathcal{F}) = \lim_{n \to \infty} \text{co-ex}(n, \mathcal{F}) / n \text{ for all } r\text{-graph families } \mathcal{F}.$ 

### 1.2. Comparing $\gamma$ and $\pi$

It is easy to see that  $\gamma(\mathcal{F}) = \pi(\mathcal{F})$  for every graph family  $\mathcal{F}$ . The situation for r-graphs when  $r \geqslant 3$  is more complicated. There exists an r-graph F for which  $\pi(F)$  and  $\gamma(F)$  differ almost by 1. For example, fix r=3 and  $k\geqslant 3$ , and let F be the 3-graph obtained from a complete graph on k vertices by enlarging each edge with a new (distinct) vertex. Then a simple greedy procedure shows that  $\operatorname{co-ex}(n,F)\leqslant \binom{k}{2}+k-2$  and thus  $\gamma(F)=0$ . On the other hand, let  $G_n$  be the 3-graph whose vertices are equally partitioned into k-1 sets and whose edges are the triples intersecting each partition set in at most one vertex. Clearly  $G_n$  does not contain F, and since  $e(G_n)\geqslant \frac{(k-2)(k-3)}{(k-1)^2}\binom{n}{3}$ , we conclude that  $\pi(F)\to 1$  as  $k\to\infty$ .

In the opposite direction, for every even  $r \ge 4$ , there is an r-graph whose  $\pi$  and  $\gamma$  values are the same. Let  $T^{(2k)}$  be the 2k-graph obtained by letting  $P_1$ ,  $P_2$ ,  $P_3$  be pairwise disjoint sets of size k and taking as edges the three sets  $P_i \cup P_j$  with  $i \ne j$ . Frankl [13] determined that  $\pi(T^{(2k)}) = 1/2$  (see also [19,28]). Since the extremal configuration given in [13] has minimum co-degree n/2 - o(n), we conclude that  $\gamma(T^{(2k)}) = 1/2 = \pi(T^{(2k)})$ .

There are a few 3-graphs whose  $\gamma$  values are known or even conjectured. The *only* known non-trivial examples are the Fano plane **F** and some hypergraphs closely resembling **F**. The first author recently [22] proved that  $\gamma(\mathbf{F}) = 1/2$ , in contrast to a well-known result of de Caen and Füredi [6] that  $\pi(\mathbf{F}) = 3/4$ . Let  $K_4^3$  denote the complete 3-graph on 4 vertices. It was conjectured by Czygrinow and Nagle [7] that  $\gamma(K_4^3) = 1/2$  while the famous Turán conjecture [29] claims that  $\pi(K_4^3) = 5/9$ .

As far as we know, all 3-graphs  $G_n$  providing lower bounds for  $\pi$  satisfy that  $e(G_n)/\binom{n}{3} > \mathcal{C}(G_n)/n + \alpha$  for some fixed  $\alpha > 0$  and all large n. For example, the well-known construction of Turán forbidding  $K_4^3$  has about  $\frac{5}{9}\binom{n}{3}$  edges but its minimum co-degree is only  $\frac{n}{3}$ . Hence it is an interesting problem to determine if  $0 < \gamma(F) = \pi(F)$  for any 3-graph F.

#### 1.3. Our results

One fundamental result in extremal hypergraph theory is the so-called supersaturation phenomenon, discovered by Brown, Erdős and Simonovits [11]. An indication of its usefulness is that when applied to graphs, it is essentially equivalent to **ESS**.

As Proposition 1.4 below shows, the supersaturation phenomenon also holds for  $\gamma$ .

**Definition 1.3.** Let  $\ell, n$  be positive integers and let F be an r-graph on [h]. The *blow-up*  $F(\ell)$  is the h-partite r-graph (V, E) with  $V = V_1 \cup V_2 \cup \cdots \cup V_h$ , every  $|V_i| = \ell$  and  $E = \{\{v_{i_1}, v_{i_2}, \ldots, v_{i_r}\}: v_{i_i} \in V_{i_i}, \{i_1, i_2, \ldots, i_r\} \in E(F)\}.$ 

For example, blowing up one r-set creates a complete r-partite r-graph  $K_r^r(\ell)$ .

**Proposition 1.4** (Supersaturation). Let F be an r-graph on f vertices. For any  $\varepsilon > 0$ , there exists  $\delta = \delta(\varepsilon) > 0$  and N such that every r-graph  $G_n$  with n > N and  $c(G_n) > \gamma(F) + \varepsilon$  contains  $\delta\binom{n}{f}$  copies of F. Consequently, for every positive integer  $\ell$ ,  $\gamma(F) = \gamma(F(\ell))$ .

For each  $r \ge 2$ , let

$$\Pi_r = \{ \pi(\mathcal{F}) : \mathcal{F} \text{ is a family of } r\text{-graphs} \}.$$

Then **ESS** implies that  $\Pi_2 = \{0, \frac{1}{2}, \frac{2}{3}, \dots, \frac{k-1}{k}, \dots\}$ . The well-ordered property of  $\Pi_2$  leads one to the following definition [5,14,27] (although there are several equivalent formulations): a real number  $0 \le a < 1$  is called a *jump* for r if there exists  $\delta > 0$ , such that no family of r-graphs  $\mathcal{F}$  satisfies  $\pi(\mathcal{F}) \in (a,a+\delta)$ . The set  $\Pi_2$  shows that every real number in [0,1) is a jump for r=2. Erdős conjectured [9] that this is also the case for  $r \ge 3$  and offered \$1000 for its solution. By supersaturation we have  $\pi(K_r^r(\ell)) = 0$ . This, together with  $\lim_{l \to \infty} e(K_r^r(\ell)) / {r \choose r} = r!/r^r$  implies that no  $\mathcal{F}$  satisfies  $\pi(\mathcal{F}) \in (0,r!/r^r)$ . Thus every  $\alpha \in [0,r!/r^r)$  is a jump for  $r \ge 3$ . A striking result of Frankl and Rödl [14] showed that  $1-1/\ell^{r-1}$  is not a jump for  $r \ge 3$  and  $\ell > 2r$ , thus disproving Erdős' conjecture. However, one may still ask whether other numbers in  $[r!/r^r, 1)$  are jumps for  $r \ge 3$ . For example, whether 2/9 is a jump for r = 3 is a well-known open problem (Erdős actually considered this as the main part of his original conjecture). A recent result of Frankl et al. [15] showed that  $\frac{5r!}{2r^r}$  is not a jump for  $r \ge 3$  and described an infinite sequence of non-jumps for r = 3.

The analogous problem for multigraphs with edge-multiplicity at most q was first considered by Brown, Erdős and Simonovits. They conjectured [3] that all numbers in [0, q) are jumps and verified [4] it for q = 2 (ESS confirms the q = 1 case). Later Rödl and Sidorenko [27] disproved their conjecture by finding infinitely many non-jumps in [3, q) for  $q \ge 4$ .

In this paper we consider the same problem for  $\gamma$ . For  $r \ge 2$ , let

$$\Gamma_r = \{ \gamma(\mathcal{F}) : \mathcal{F} \text{ is a family of } r\text{-graphs} \}.$$

Note that  $\Gamma_r \subseteq [0,1)$  because  $\gamma(\mathcal{F}) < 1$  for every family  $\mathcal{F}$  of finite r-graphs. Since  $\gamma(\mathcal{F}) = \pi(\mathcal{F})$  for all graph families  $\mathcal{F}$ , we have  $\Gamma_2 = \{0, \frac{1}{2}, \frac{2}{3}, \dots, \frac{k-1}{k}, \dots\}$ . However,  $\Gamma_r$  behaves differently for  $r \geqslant 3$ .

**Definition 1.5.** Fix  $r \ge 2$ . A real number  $0 \le \alpha < 1$  is called a  $\gamma$ -jump (or jump if the density is clear from the context) for r if there exists  $\delta = \delta(\alpha) > 0$ , such that every (infinite or finite) family of r-graphs  $\mathcal{F}$  satisfies  $\gamma(\mathcal{F}) \notin (\alpha, \alpha + \delta)$ .

Theorem 1.6 below completely answers the corresponding jump question for  $\gamma$ . The constructions proving Theorem 1.6 are different from the ones in [14,15]. One key step in our proof is that 0 is a not a  $\gamma$ -jump, which again suggests that  $\gamma$  is fundamentally different than  $\pi$  (recall that 0 is indeed a jump in terms of  $\pi$ ).

**Theorem 1.6.** Fix  $r \ge 3$ . Then no  $\alpha \in [0, 1)$  is a  $\gamma$ -jump. In particular,  $\Gamma_r$  is dense in [0, 1).

We believe that Theorem 1.6 can be strengthened to show that  $\Gamma_r = [0, 1)$  for each  $r \ge 3$ . The missing step for the following conjecture is a compactness property for  $\gamma$ . Note that, in particular, Conjecture 1.7 clearly implies that  $\Gamma_r = [0, 1)$ .

**Conjecture 1.7.** Fix  $r \ge 3$ . For every  $0 \le \alpha < 1$  there exists an infinite family  $\mathcal{F}$  of r-graphs such that  $\gamma(\mathcal{F}) = \alpha$  and all finite families  $\mathcal{F}' \subset \mathcal{F}$  satisfying  $\gamma(\mathcal{F}') > \alpha$ .

A family  $\mathcal F$  of r-graphs is called  $non\text{-}principal\ [1,23]$  if its Turán density is strictly less than the density of each member. When r=2, **ESS** implies that no family is non-principal because  $\pi(\mathcal F)=\min_{F\in\mathcal F}1-\frac{1}{\chi(F)-1}=\min_{F\in\mathcal F}\pi(F)$ . Motivated by exploring the difference between graphs and hypergraphs, the first author and Rödl [24] conjectured that non-principal families exists for  $r\geqslant 3$ . Balogh [1] proved this conjecture by constructing a non-principal 3-graph family with finitely many members. The first author and Pikhurko [23] extended this result by constructing, for each  $r\geqslant 3$ , a non-principal r-graph family of size two. One might suspect that a similar result holds for  $\gamma$ . Our final theorem shows this to be the case. Its proof is similar but more complicated than the corresponding statement for  $\pi$ .

**Theorem 1.8.** Fix  $r \ge 3$ . Then there is a finite family  $\mathcal{F}$  of r-graphs such that  $0 < \gamma(\mathcal{F}) < \min_{F \in \mathcal{F}} \gamma(F)$ .

The rest of the paper is organized as follows. We prove Propositions 1.2 and 1.4 in Section 2, Theorem 1.6 in Section 3 and Theorem 1.8 in Section 4. In the last section we give some concluding remarks and open problems.

#### 2. Supersaturation

Our goal in this section is to prove Propositions 1.2 and 1.4. Our main tool (Lemma 2.1 below) is a useful technical result used in this section and in the proof of Theorem 1.8.

Let  $a, \lambda > 0$  with  $a + \lambda < 1$ . Suppose that  $S \subseteq [n] = \{1, ..., n\}$  and  $|S| \ge (a + \lambda)n$ . Then a result on the hypergeometric distribution (see, e.g., [17, p. 29]) says that

$$\left| \left\{ M \in \binom{[n]}{m} \colon |M \cap S| \leqslant am \right\} \right| \leqslant \binom{n}{m} e^{-\frac{\lambda^2 m}{3(a+\lambda)}} \leqslant \binom{n}{m} e^{-\lambda^2 m/3}. \tag{1}$$

For a hypergraph H and a subset  $S \subset V(H)$ , we denote by H[S] the subhypergraph of H induced by the set S. For positive integers r < n, let  $[n] = \{1, \ldots, n\}$  and  $\binom{[n]}{r}$  be the family of all subsets of [n] of size r.

**Lemma 2.1.** Fix  $r \ge 2$ . Given  $\varepsilon, \alpha > 0$  with  $\alpha + \varepsilon < 1$ , let  $M(\varepsilon)$  be the smallest integer such that every  $m > M(\varepsilon)$  satisfies  $m \ge \frac{2(r-1)}{\varepsilon}$  and  $\binom{m}{r-1}e^{-\varepsilon^2(m-r+1)/12} \le \frac{1}{2}$ . If  $n \ge m \ge M(\varepsilon)$  and G is an r-graph on [n] with  $c(G) \ge \alpha + \varepsilon$ , then the number of m-sets S satisfying  $c(G[S]) > \alpha$ 

is at least  $\frac{1}{2}\binom{n}{m}$ . In particular, every r-graph  $H_n$   $(n \ge m)$  contains a subhypergraph  $H'_m$  with  $c(H'_m) > c(H_n) - \varepsilon$ .

**Proof.** Given an (r-1)-set T of [n], we call an m-set S of [n] bad for T if  $T \subset S$  and  $|N(T) \cap S| \leq \alpha m$ . We call an m-set S bad if it is bad for some T. Let  $\Phi$  denote the number of bad m-sets, and let  $\Phi_T$  be the number of m-sets that are bad for T. We need to show that  $\Phi \leq \frac{1}{2} \binom{n}{m}$ . Clearly

$$\Phi \leqslant \sum_{T \in \binom{[n]}{r-1}} \Phi_T = \sum_{T \in \binom{[n]}{r-1}} \left| \left\{ S' \in \binom{[n] \setminus T}{m - (r-1)} : \left| N(T) \cap S' \right| \leqslant \alpha m \right\} \right|.$$

Now  $\alpha + \varepsilon < 1$  and  $m \geqslant \frac{2(r-1)}{\varepsilon}$  imply that the summand above is upper bounded by

$$\left| \left\{ S' \in \binom{[n] \setminus T}{m-r+1} \colon \left| N(T) \cap S' \right| \leqslant \left(\alpha + \frac{\varepsilon}{2}\right) (m-r+1) \right\} \right|.$$

Applying (1) with  $a = \alpha + \varepsilon/2$  and  $\lambda = \varepsilon/2$  yields

$$\Phi_T \leqslant \binom{n-r+1}{m-r+1} e^{-(\varepsilon/2)^2(m-r+1)/3}.$$

Finally, we apply the hypothesis  $\binom{m}{r-1}e^{-\varepsilon^2(m-r+1)/12} \leq \frac{1}{2}$  to obtain

$$\Phi \leqslant \binom{n}{r-1} \binom{n-r+1}{m-r+1} e^{-(\varepsilon/2)^2 (m-r+1)/3} = \binom{n}{m} \binom{m}{r-1} e^{-\varepsilon^2 (m-r+1)/12} \leqslant \frac{1}{2} \binom{n}{m}.$$

Its immediate consequence, Corollary 2.2, is needed for Proposition 1.2 and in Section 3.1. Call a hypergraph *non-trivial* if it contains at least one edge, and a family of hypergraphs non-trivial it contains at least one non-trivial member.

**Corollary 2.2.** For any  $0 < \varepsilon < 1$ , define  $M(\varepsilon)$  as in Lemma 2.1. Then for every  $n > m \geqslant M(\varepsilon)$  and every non-trivial family  $\mathcal{F}$  of r-graphs,

$$\frac{\operatorname{co-ex}(n,\mathcal{F})}{n} - \frac{\operatorname{co-ex}(m,\mathcal{F})}{m} < \varepsilon.$$

**Proof.** Since  $\mathcal{F}$  is non-trivial, there is an  $\mathcal{F}$ -free r-graph  $H_n$  with  $\mathcal{C}(H_n) = \operatorname{co-ex}(n, \mathcal{F})$ . By Lemma 2.1,  $H_n$  contains a subhypergraph  $H'_m$  with  $c(H'_m) > c(H_n) - \varepsilon$ . Since  $H'_m$  is  $\mathcal{F}$ -free,  $c(H'_m) \leq \operatorname{co-ex}(m, \mathcal{F})/m$  and the desired inequality follows.  $\square$ 

**Proof of Proposition 1.2.** Let  $a_n = \text{co-ex}(n, \mathcal{F})/n$ . Corollary 2.2 says that for every  $n > m \ge M(\varepsilon)$ , we have  $a_n - a_m < \varepsilon$ . Since  $a_n \ge 0$  for every n, it is easy to see that  $\lim_{n \to \infty} a_n$  exists and equals to  $\liminf_{n \to \infty} a_n$ .  $\square$ 

**Proof of Proposition 1.4.** The proof follows the arguments of Erdős and Simonovits for  $\pi$ , with a suitable application of Lemma 2.1. We sketch the main steps below.

Let  $\alpha = \gamma(F)$  and f = |V(F)|. For each positive n, let  $G_n$  be an r-graph with  $\mathcal{C}(G_n) > (\alpha + \varepsilon)n$ . By Lemma 2.1, there exists an integer m, such that for  $n \ge m$  at least  $\frac{1}{2}\binom{n}{m}$  induced subgraphs of  $G_n$  on m vertices have minimum co-degree at least  $(\alpha + \varepsilon/2)m$ . Since  $\gamma(F) = \alpha$ 

and m is sufficiently large, each of these subgraphs contains a copy of F. Consider an f-uniform graph G' on  $V(G_n)$  whose edges are f-sets S in which  $G[S] \supseteq F$ . Then

$$e(G') \geqslant \frac{1}{2} \frac{\binom{n}{m}}{\binom{n-f}{m-f}} = \frac{1}{2\binom{m}{f}} \binom{n}{f} = \delta \binom{n}{f}.$$

A result of Erdős [8] implies that for each L, there is a sufficiently large n such that  $\mathcal{K} = K_f^f(L) \subseteq G'$ . Furthermore, each edge  $e = (v_1, v_2, \ldots, v_f) \in E(\mathcal{K})$  corresponds to an embedding of F and the mapping of [f] = V(F) to  $v_1, v_2, \ldots, v_f$  is regarded as a permutation  $\rho_e$  of [f]. A result in Ramsey theory says that if L is large enough, then we can always find  $\mathcal{K}' = K_f^f(\ell) \subseteq \mathcal{K}$  such that all  $\ell^f$  edges in  $\mathcal{K}'$  follow the same permutation. This implies that for n sufficiently large the induced subgraph  $G[V(\mathcal{K}')]$  contains a copy of  $F(\ell)$ . Therefore  $\gamma(F) = \gamma(F(\ell))$ .  $\square$ 

#### 3. Jumps

Unless stated otherwise, when we say *jump* we mean  $\gamma$ -jump. We begin by giving three equivalent definitions for jumps.

**Proposition 3.1.** Fix  $r \ge 2$ . Let  $0 \le \alpha < 1$ ,  $0 < \delta \le 1 - \alpha$ . The following statements are equivalent.

**S1:** Every family of r-graphs  $\mathcal{F}$  satisfies  $\gamma(\mathcal{F}) \notin (\alpha, \alpha + \delta)$ .

**S2:** Every finite family of r-graphs  $\mathcal{F}$  satisfies  $\gamma(\mathcal{F}) \notin (\alpha, \alpha + \delta)$ .

**S3:** For every  $\varepsilon > 0$  and every  $M \ge r - 1$ , there exists an integer N such that, for every r-graph  $G_n$  with n > N and  $C(G_n) \ge (\alpha + \varepsilon)n$ , we can find a subhypergraph  $G'_m \subseteq G_n$  with  $C(G'_m) \ge (\alpha + \delta - \varepsilon)m$  for some m > M. (Note that the order of quantifiers above is  $\forall \varepsilon, M \exists N \forall n > N \exists m > M$ .)

**Remark.** In terms of  $\pi$ , a slightly stronger statement than **S3** was stated in the abstract of [14]. There the factor  $\alpha + \delta - \varepsilon$  was replaced by  $\alpha + \delta$ , and the quantification  $\forall M \geqslant r-1$ ,  $\exists m > M$ ,  $G'_m$  was replaced by  $\forall M \geqslant r-1$ ,  $\exists G'_M$ . The stronger statement was valid in that context because of the monotonicity of  $\operatorname{ex}(n,\mathcal{F})/\binom{n}{r}$ . As mentioned in the introduction, we could not prove that  $\operatorname{co-ex}(n,\mathcal{F})/n$  is monotone, hence we have the different but essentially equivalent statement **S3**.

In Section 3.1 we prove Proposition 3.1. The proof of Theorem 1.6 is then divided into two cases:  $\alpha = 0$  (Section 3.2) and  $0 < \alpha < 1$  (Section 3.3).

Let us briefly compare our proof with those on  $\pi$ -jumps [14,15]. Fix a density of r-graphs  $G_n$ , either the normalized co-degree  $c(G_n)$  or the edge density  $e(G_n)/\binom{n}{3}$ . All of these proofs show that  $\alpha \in [0,1)$  is not a jump in terms of this density by definition S3 or its equivalent form. Roughly speaking, for every  $\delta > 0$ , we construct a sequence of r-graphs  $\{G_n\}$   $(n = n(i) \to \infty \text{ as } i \to \infty)$  such that

- (1) the density of  $G_n$  is slightly greater than  $\alpha$ ,
- (2) any reasonably large subgraph of  $G_n$  has density less than  $\alpha + \delta$ .

To satisfy the first property above, one can obtain  $G_n$  from any r-graph of density  $\alpha$  by adding some extra edges. Hence the main task is to verify the second property for the choice of  $G_n$ . For  $\pi$ , this is only known when  $G_n$  has the structure as described in [14,15]. When r=3, the essential part of this structure is a 3-graph  $H_m$  with vertex set  $V = \bigcup_{i=0}^{\ell-1} V_i$ , where  $\ell \geqslant 3$  and  $V_i \cap V_j = \emptyset$  for  $i \neq j$ . Its edge set consists of all triples of the vertices from three different  $V_i$ 's and all  $\{a, b, c\}$  with  $a \in V_i$ ,  $b, c \in V_j$  for  $j = i + 1, \dots, i + t \pmod{\ell}$  for some fixed  $t < \ell$ . Note that their actual  $G_n$  is a blow-up of  $H_m^*$ , which is  $H_m$  plus some extra edges. It is easy to see that the edge density,  $|E(H_m)|/{m \choose 3}$ , is about  $1-\frac{3}{\ell}+\frac{3t+2}{\ell^2}$ . For appropriate choices of  $\ell$  and t, we obtain all known non- $\pi$ -jumps for r=3. In contrast, our construction for  $\gamma$  is more general: we construct  $G_n$  satisfying the above two properties for all rational  $\alpha \in [0, 1)$ . This, of course, is due to the nature of co-degree conditions; it does not suggest any new construction for non- $\pi$ -jumps.

#### 3.1. Proof of Proposition 3.1

We need the following so-called *Continuity property* (which holds for  $\pi$  as shown in [5,27]).

**Lemma 3.2.** Let  $\mathcal{F}$  be a family of r-graphs. For every  $\varepsilon > 0$ , there exists a finite family  $\mathcal{F}' \subseteq \mathcal{F}$ with  $\gamma(\mathcal{F}) \leqslant \gamma(\mathcal{F}') \leqslant \gamma(\mathcal{F}) + \varepsilon$ .

**Proof.** Trivially  $\gamma(\mathcal{F}) \leqslant \gamma(\mathcal{F}')$  for any  $\mathcal{F}' \subseteq \mathcal{F}$ , so we only need to show that  $\gamma(\mathcal{F}') \leqslant \gamma(\mathcal{F}')$  $\gamma(\mathcal{F}) + \varepsilon$  for some finite family  $\mathcal{F}' \subseteq \mathcal{F}$ . Set  $\gamma = \gamma(\mathcal{F})$  and choose  $m = m(\varepsilon)$  such that

- (1)  $\frac{\operatorname{co-ex}(m,\mathcal{F})}{m} < \gamma + \frac{\varepsilon}{2}$  and (2)  $m > M(\frac{\varepsilon}{2})$ , where  $M(\varepsilon)$  is defined as in Corollary 2.2.

Let  $\mathcal{F}'$  be the set of members of  $\mathcal{F}$  on at most m vertices. Then  $\operatorname{co-ex}(m,\mathcal{F}) = \operatorname{co-ex}(m,\mathcal{F}')$ . Now we apply Corollary 2.2 to derive that for every n > m,

$$\frac{\operatorname{co-ex}(n,\mathcal{F}')}{n} < \frac{\operatorname{co-ex}(m,\mathcal{F}')}{m} + \frac{\varepsilon}{2} = \frac{\operatorname{co-ex}(m,\mathcal{F})}{m} + \frac{\varepsilon}{2} < \gamma + \frac{\varepsilon}{2} + \frac{\varepsilon}{2} = \gamma + \varepsilon.$$

Therefore  $\gamma(\mathcal{F}') = \lim_{n \to \infty} \frac{\operatorname{co-ex}(n, \mathcal{F}')}{n} \leqslant \gamma + \varepsilon$ .  $\square$ 

**Proof of Proposition 3.1.** Trivially  $S1 \Rightarrow S2$ . We will show  $S2 \Rightarrow S1$ ,  $S3 \Rightarrow S1$  and  $S1 \Rightarrow S3$ .

 $S2 \Rightarrow S1$ . Assume that there exists  $\delta > 0$ , such that no finite family of r-graphs  $\mathcal{F}$  satisfies  $\gamma(\mathcal{F}) \in (\alpha, \alpha + \delta)$ . Suppose that S1 does not hold, i.e., there exists a family of r-graphs  $\mathcal{F}$ satisfying  $\gamma(\mathcal{F}) \in (\alpha, \alpha + \delta)$ . Let  $0 < \varepsilon < \alpha + \delta - \gamma(\mathcal{F})$ . We apply Lemma 3.2 to obtain a finite family  $\mathcal{F}' \subseteq \mathcal{F}$  with  $\gamma(\mathcal{F}') \leq \gamma(\mathcal{F}) + \varepsilon < \alpha + \delta$ , a contradiction.

 $S3 \Rightarrow S1$ . Suppose that S3 holds. We will show that no family of r-graphs  $\mathcal{F}$  satisfies  $\gamma(\mathcal{F}) \in$  $(\alpha, \alpha + \delta)$ . Suppose instead, that there exist a family  $\mathcal{F}$  satisfying  $\gamma(\mathcal{F}) = \alpha + b$  for some  $0 < \infty$  $b < \delta$ . Set  $\varepsilon_0 = \min\{\frac{b}{2}, \frac{\delta - b}{2}\}$ . Then there exists  $N_1 = N_1(\varepsilon)$  so that the following two statements hold:

D1: For every  $n > N_1$ , there exists an  $\mathcal{F}$ -free hypergraph  $H_n$  with  $c(H_n) \ge \alpha + \varepsilon_0$ .

D2: Every hypergraph  $G_m$  with  $m > N_1$  and  $c(G_m) \ge \alpha + b + \varepsilon_0$  contain a member of  $\mathcal{F}$ .

By **S3** (with  $\varepsilon = \varepsilon_0$ ,  $M = N_1$ ), we may find an n such that the  $\mathcal{F}$ -free hypergraph  $H_n$  in D1 contains an m-vertex subhypergraph  $G'_m$  with  $c(G'_m) \geqslant \alpha + \delta - \varepsilon_0 > \alpha + b + \varepsilon_0$  for some  $m > N_1$ . This contradicts D2 because  $G_m \subset H_n$  is  $\mathcal{F}$ -free.

 $S1 \Rightarrow S3$ . Suppose that S1 holds but S3 does not. If S3 is false for  $\varepsilon > 0$ , then it is also false for  $\varepsilon' < \varepsilon$ . Consequently, we may assume that there exist  $0 < \varepsilon < \delta$ ,  $M \ge r - 1$ , and a sequence of hypergraphs  $H_{n_i}$   $(n_i \to \infty \text{ as } i \to \infty)$  such that

P1:  $c(H_{n_i}) \geqslant \alpha + \varepsilon$ ,

P2:  $c(H'_m) < \alpha + \delta - \varepsilon$  for every subhypergraph  $H'_m \subseteq H_{n_i}$  with m > M.

Let  $\mathcal{F} = \{G : G \nsubseteq H_{n_i} \text{ for any } i\}$ . Note that  $\mathcal{F}$  is non-empty because P2 implies that  $K_m \nsubseteq H_{n_i}$  for every i, thus  $K_m \in \mathcal{F}$  (for every m > M). Then  $\operatorname{co-ex}(n_i, \mathcal{F}) \geqslant (\alpha + \varepsilon)n_i$  for every i because  $H_{n_i}$  is  $\mathcal{F}$ -free. Thus  $\gamma(\mathcal{F}) \geqslant \alpha + \varepsilon$ . From S1, we know that  $\gamma(\mathcal{F}) \geqslant \alpha + \delta$ . Hence for every natural number m > M, there exists an  $\mathcal{F}$ -free hypergraph  $G_m$  with  $c(G_m) \geqslant \alpha + \delta - \varepsilon$ . Since  $G_m$  is  $\mathcal{F}$ -free, there exists some  $n_i$  such that  $G_m \subseteq H_{n_i}$  (otherwise  $G_m$  itself is a member of  $\mathcal{F}$ ). But this clearly contradicts P2.  $\square$ 

## 3.2. Proof of Theorem 1.6 for $\alpha = 0$

Using Statement S3 in Proposition 3.1, the following shows that 0 is not a jump for r. For every  $\delta > 0$ , there exist  $\varepsilon_0 > 0$  and  $M_0 > 0$ , such that for every  $\ell \geqslant r-1$ , there exist  $n > \ell$  and an r-graph  $G_n$  satisfying

- (1)  $C(G_n) \geqslant \varepsilon_0 n$ ,
- (2)  $C(G'_m) < (\delta \varepsilon_0)m$  for every  $G'_m \subset G_n$  with  $m > M_0$ .

Remark. Note again that the order of quantifiers in the theorem is

$$\forall \delta \quad \exists \varepsilon_0, M_0 \qquad \forall \ell \quad \exists n > \ell, G_n \quad \forall G'_m, m > M_0.$$

The following special r-graph is important to our proof.

**Definition 3.3.**  $B(\ell, t, r)$  is the r-graph (V, E) in which  $V = V_0 \cup V_1 \cup \cdots \cup V_{t-1}$ ,  $V_i \cap V_j = \emptyset$  for all  $i \neq j$ ,  $|V_i| = \ell$  for all i, and E comprises all  $S \in \binom{V}{r}$  with  $|S \cap V_i| \leq r - 2$  for all i.

Fix  $\delta \in (0, 1)$ . Let

$$\varepsilon_0 = \frac{\delta}{3}, \qquad M_0 = \frac{3(r-1)}{\delta}, \quad \text{and} \quad t = \left| \frac{1}{\varepsilon_0} \right|.$$
 (2)

Therefore  $\varepsilon_0 < 1/3$  and  $t \ge 3$ .

For every  $\ell \geqslant r-1$ , set  $n=t\ell$ . Starting from the r-graph  $B(\ell,t,r)$ , we add to the edge set the r-sets with r-1 vertices in  $V_i$  and one vertex in  $V_{i+1}$  for all i (here  $V_t=V_0$ ). Denote the resulting r-graph by  $G_n$ . It is easy to see that  $\mathcal{C}(G_n) \geqslant \varepsilon_0 n$ . In fact, given an (r-1)-set  $R \subset V(G_n)$ , let  $R_i = R \cap V_i$ . If  $|\{i\colon R_i \neq \emptyset\}| = 1$ , i.e.,  $R \subset V_i$  for some i, then  $N(R) = V_{i+1}$  and  $d(R) = \ell \geqslant \varepsilon_0 n$ . Otherwise, the definition of B(l,t,r) implies that  $d(R) \geqslant (t-2)\ell \geqslant \ell \geqslant \varepsilon_0 t\ell = \varepsilon_0 n$  when  $|\{i\colon R_i \neq \emptyset\}| = 2$ , and  $d(R) \geqslant n - (r-1) > \varepsilon_0 n$  when  $|\{i\colon R_i \neq \emptyset\}| \geqslant 3$ .

To complete the proof, we show that

for every *m*-set *S* with 
$$m > M_0$$
,  $G' = G_n[S]$  satisfies  $C(G') < (\delta - \varepsilon_0)m$ .  $(\star)$ 

Suppose that  $(\star)$  does not hold. Then  $\mathcal{C}(G') = \mathcal{C}(G_n[S]) \geqslant (\delta - \varepsilon_0)m = 2\varepsilon_0 m$  for some m-set S. Let  $S_i = S \cap V_i$  for all i. Since  $m > M_0 \geqslant (r-1)/\varepsilon_0 \geqslant (r-1)t$ , by the pigeonhole principle, there is an  $i_0$  and an (r-1)-set  $R_0 \subset S_{i_0}$ . Because  $d_{G'}(R_0) \geqslant 2\varepsilon_0 m$  and  $N(R_0) \subset V_{i_0+1}$ , we have  $|S_{i_0+1}| \geqslant 2\varepsilon_0 m$ . Since  $2\varepsilon_0 m > r-1$ , we may repeat this argument to  $i_0 + 1$  and conclude  $|S_i| \geqslant 2\varepsilon_0 m$  for all i. But this yields the contradiction  $m \geqslant t2\varepsilon_0 m \geqslant (1/\varepsilon_0 - 1)2\varepsilon_0 m > m$  (using the fact  $\varepsilon_0 < 1/3$ ).

#### 3.3. Proof of Theorem 1.6 for $0 < \alpha < 1$

Since the set of rational numbers is dense in the reals, it suffices to show that  $\alpha = a/b$  is not a jump for every two positive integers a < b. As in the  $\alpha = 0$  case, we will show that the negation of **S3** holds for every  $\delta > 0$ .

Given  $\delta > 0$ , let  $\varepsilon_0$ ,  $M_0$ , t be as in (2). Set

$$\varepsilon = \frac{\varepsilon_0}{b}$$
 and  $M = \max \left\{ \frac{rb^2}{(\delta - \varepsilon_0)a}, \frac{M_0 + rb}{\delta - \varepsilon_0}, M_0 b \right\}.$ 

For every integer  $\ell \geqslant r-1$ , set  $n=t\ell b$ . Let  $\mathcal{D}$  be the directed graph on  $\{0,1,\ldots,b-1\}$  with  $E(\mathcal{D})=\{(i,j)\colon j=i+1,\ldots,i+a\}$ . The indices in this subsection are mod b unless stated differently. Let  $G_{t\ell}$  be the r-graph constructed in Section 3.2. Let H=(V,E) be the n-vertex r-graph obtained from  $B(t\ell,b,r)$  by adding

- edges within each  $V_i$  so that  $H[V_i] \cong G_{t\ell}$ , and
- all edges with r-1 vertices in  $V_i$  and one vertex in  $V_j$  whenever  $(i, j) \in E(\mathcal{D})$ .

We claim that  $\mathcal{C}(H) \geqslant (a/b + \varepsilon)n$ . To see this, pick an (r-1)-set  $R \subset V$ . If  $R \subset V_i$  for some i, then  $R \cup \{v\} \in E$  for every  $v \in \bigcup_{j=1}^{a} V_{i+j}$ , and  $d_{H[V_i]}(R) \geqslant \varepsilon_0 t \ell$  because  $H[V_i] \cong G_{t\ell}$ . Thus

$$d_{H_n}(R) \geqslant a|V_i| + \varepsilon_0 t\ell = (a + \varepsilon_0)t\ell = (a/b + \varepsilon)n.$$

Next suppose that  $\max_i |R \cap V_i| < r - 1$ . We consider three cases: a = b - 1, a = b - 2 and  $a \le b - 3$ . If a = b - 1, then the edges of  $H[V_i]$  together with the edges of  $B(t\ell, b, r)$  yield

$$d_{H_n}(R) \geqslant n - (r - 1) \geqslant n - \ell = (b - 1)t\ell + t\ell - \ell > (b - 1)t\ell + \varepsilon_0 t\ell = (a/b + \varepsilon)n$$

where the third inequality holds because  $t > \varepsilon_0 t + 1$ . Following a similar reasoning, when a = b - 2, we have

$$d_{H_n}(R) \geqslant n - t\ell - (r - 1) \geqslant n - t\ell - \ell > (b - 2)t\ell + \varepsilon_0 t\ell = (a/b + \varepsilon)n,$$

and when  $a \le b - 3$ , the edges of  $B(t\ell, b, r)$  yield

$$d_{H_n}(R) \geqslant n - 2t\ell - (r - 1) \geqslant n - 2t\ell - \ell > (b - 3)t\ell + \varepsilon_0 t\ell \geqslant (a/b + \varepsilon)n.$$

Let  $S \in \binom{V}{m}$  with m > M and H' = H[S]. Our goal is to show that  $C(H') < (a/b + \delta - \varepsilon)m$ , i.e., there exists an (r-1)-set  $R \subset S$  such that  $d_{H'}(R) < (a/b + \delta - \varepsilon)m$ .

Let  $S_i = S \cap V_i$  for all i. We first claim that there exists  $i_0$ , such that  $|S_{i_0}| \ge r - 1$  and

$$\sum_{j=i_0+1}^{i_0+a} |S_j| < \frac{a}{b}m + rb. \tag{3}$$

In fact, if  $\sum_{j=i+1}^{i+a} |S_j| > \frac{a}{b}m$  for all i, then by averaging, we obtain  $|S| > \lceil (b\frac{a}{b}m)/a \rceil \geqslant m$ , a contradiction. Next, assume that  $\sum_{j=i+1}^{i+a} |S_j| \leqslant \frac{a}{b}m$  but  $|S_i| \leqslant r-2$  for some i. Without loss of generality, let i=0, so  $\sum_{j=1}^{a} |S_j| \leqslant \frac{a}{b}m$  and  $|S_0| \leqslant r-2$ . Let  $i_0$  be the largest integer less than b such that  $|S_{i_0}| \geqslant r-1$  (such  $i_0$  exists because |S| = m > M > (r-2)b). Then  $\sum_{j=i_0+1}^{i_0+a} |S_j| \leqslant \sum_{j=i_0+1}^{b-1} |S_j| + |S_0| + \sum_{j=1}^{a} |S_j| \leqslant (r-2)b + \frac{a}{b}m$  and (3) follows. Let R be an (r-1)-subset of  $S_{i_0}$ . We will show that  $d_{H'}(R) < (a/b + \delta - \varepsilon_0)m < (a/b + \delta)$ 

Let R be an (r-1)-subset of  $S_{i_0}$ . We will show that  $d_{H'}(R) < (a/b + \delta - \varepsilon_0)m < (a/b + \delta - \varepsilon)m$ . If  $|S_{i_0}| > M_0$ , then  $H[V_{i_0}] \cong G_{t\ell}$  and  $(\star)$  implies that  $d_{H'[S_{i_0}]}(R) < (\delta - \varepsilon_0)|S_{i_0}|$ . Otherwise  $d_{H'[S_{i_0}]}(R) \le |S_{i_0}| \le M_0$ .

If  $|S_{i_0}| \ge (1 - \frac{a}{b})m$ , then  $b \ge a + 1$  and  $m \ge bM_0$  yield  $|S_{i_0}| \ge \frac{m}{b} > M_0$ . Therefore

$$d_{H'}(R) < (\delta - \varepsilon_0)|S_{i_0}| + \left(m - |S_{i_0}|\right) < (\delta - \varepsilon_0)m + \frac{a}{b}m.$$

Otherwise, 
$$m - |S_{i_0}| > \frac{a}{b}m > \frac{rb}{\delta - \varepsilon_0}$$
, since  $m > \frac{rb^2}{(\delta - \varepsilon_0)a}$ . Consequently  $(\delta - \varepsilon_0)|S_{i_0}| + rb < (\delta - \varepsilon_0)m$ . (4)

By the structure of  $\mathcal{D}$ , we know that all the neighbors of R in  $H'[S \setminus S_{i_0}]$  are in  $S_j$ , for  $j = i_0 + 1, \ldots, i_0 + a \pmod{b}$ . Applying (3), we therefore obtain  $d_{H'[S \setminus S_{i_0}]}(R) \leqslant \sum_{j=i_0+1}^{i_0+a} |S_j| < \frac{a}{h}m + rb$ , and hence

$$\begin{aligned} d_{H'}(R) &= d_{H'[S_{i_0}]}(R) + d_{H'[S \setminus S_{i_0}]}(R) < \max \left\{ M_0, (\delta - \varepsilon_0) |S_{i_0}| \right\} + \frac{a}{b} m + rb \\ &= \max \left\{ M_0 + rb, (\delta - \varepsilon_0) |S_{i_0}| + rb \right\} + \frac{a}{b} m. \end{aligned}$$

The hypothesis  $m > \frac{M_0 + rb}{\delta - \varepsilon_0}$  implies that  $M_0 + rb < (\delta - \varepsilon_0)m$ , and together with (4), we again derive that  $d_{H'}(R) < (\delta - \varepsilon_0)m + \frac{a}{b}m$ .

# 4. Non-principality

In this section, we prove Theorem 1.8 by an explicit construction. An r-graph G is called 2-colorable (or with chromatic number two) if V(G) can be partitioned into two disjoint sets A and B such that neither A nor B contains any edge. The main idea in our proof is to find  $\gamma_0 < \frac{1}{2}$  and a 2-colorable r-graph F with  $\gamma(F) \geqslant \frac{1}{2}$  such that every 2-colorable r-graph  $H_n$  with  $c(H_n) \geqslant \gamma_0$  contains F as a subgraph.

**Definition 4.1.**  $K^r(t, t)$  is the r-graph with vertex set  $V = A \cup B$ ,  $A \cap B = \emptyset$ , |A| = |B| = t, and edge set  $\{S \in \binom{V}{r}: |S \cap A| = 1 \text{ or } |S \cap B| = 1\}$ .

**Proposition 4.2.** For  $r \ge 3$ , there exists a positive integer  $\ell = \ell(r)$  such that  $\gamma(K^r(\ell, \ell)) \ge \frac{1}{2}$ .

**Proof.** We need to show that for every  $\varepsilon > 0$ , there exists N > 0 such that for every n > N, there exists a  $K^r(\ell,\ell)$ -free r-graph  $H^r_n$  with  $c(H^r_n) > \frac{1}{2} - \varepsilon$ . We obtain  $H^r_n$  based on a random construction of Nagle and Rödl (see [7]). Let R be a random tournament on [n], namely, an orientation of the complete graph on  $\{1,\ldots,n\}$  such that  $i \to j$  or  $j \to i$ , each with probability 1/2 for every i < j. Nagle and Rödl define a random 3-graph  $G^3$  on [n] such that for all i < j < k,  $\{i,j,k\} \in E(G^3)$  if and only if either  $k \to i, i \to j$  or  $j \to i, i \to k$ . By using standard Chernoff bounds, we have  $c(G^3) > \frac{1}{2} - \varepsilon$  with positive probability for any fixed  $\varepsilon > 0$ . On the other hand,

 $G^3$  contains no  $K_4^3$  because for any i < j < k < t, two of ij, ik, it must have the same direction. Since  $K_4^3 = K^3(2, 2)$ , setting  $\ell(3) = 2$ ,  $G^3$  gives rise to the desired  $H_n^3$ .

For r > 3, we define a random r-graph  $G^r$  with vertex set [n] and  $E(G) = \{D \in {[n] \choose r}: D \supset T\}$ for some  $T \in E(G^3)$ . In other words, an r-subset  $D \subset [n]$  is an edge if and only if D contains some i < j < k such that either  $k \to i, i \to j$  or  $j \to i, i \to k$ . As before we know that for any  $\varepsilon > 0$ ,  $c(G^r) > \frac{1}{2} - \varepsilon$  with positive probability. Let  $\ell = 2R^3(4, r - 1)$ , where the Ramsey number  $R^3(4, r-1)$  is the smallest m such that any 3-graph on m vertices either contains  $K_4^3$  or  $\bar{K}_{r-1}^3$  (the empty 3-graph on r-1 vertices). We claim that  $G^r$  contains no  $K^r(\ell,\ell)$ . That is, given two disjoint  $\ell$ -subsets A and B of [n], we show that some r-subset  $S \subset A \cup B$  with  $|S \cap A| = 1$ or  $|S \cap B| = 1$  is not an edge of  $G^r$ . Without loss of generality, assume that  $a_0 \in A$  is the smallest elements in  $A \cup B$ . Partition B into  $B_1$  and  $B_2$ , where  $B_1 = \{b \in B : a_0 \to b\}$  and  $B_2 = \{b \in B : a_0 \to b\}$  $b \to a_0$ . Without loss of generality, assume that  $|B_1| \ge \ell/2$ . Since  $|B_1| \ge R^3(4, r-1)$  and  $G^3$ is  $K_4^3$ -free,  $G^3[B_1]$  contains a copy of  $\bar{K}_{r-1}^3$  with the vertex set  $B_0$ . Together with the definitions of  $a_0$  and  $B_1$ , this implies that  $\{a_0\} \cup B_0$  contains no edge of  $G^3$ . Consequently  $\{a_0\} \cup B_0$  is not an edge of  $G^r$ .  $\square$ 

**Proposition 4.3.** Let  $r \ge 3$ ,  $\ell \ge r - 1$  and  $\rho = \frac{1}{2}(1 - \frac{1}{\binom{\ell}{r-1}} + \frac{1}{\binom{\ell}{r-1})^{2^{1/\ell}}}) < \frac{1}{2}$ . For any  $\varepsilon > 0$  there exists N such that every 2-colorable r-graph  $G_n$  with n > N and  $C(G_n) \ge (\rho + \varepsilon)n$  contains a copy of  $K^r(\ell,\ell)$ .

**Proof.** Suppose to the contrary, that for arbitrarily large n, there exists a 2-colorable r-graph  $G_n$ such that

- $\mathcal{C}(G_n) = c \geqslant (\rho + \varepsilon)n$ , and
- $G_n$  contains no copy of  $K^r(\ell, \ell)$ .

Since  $G_n$  is 2-colorable, we may partition  $V(G_n)$  into two sets A and B with  $|A| = a \le$ b = |B| such that no edges of  $G_n$  fall inside A or B. Thus for any  $X \in \binom{A}{r-1}$ , we have  $N(X) \subseteq B$ and the same holds for  $Y \in \binom{A}{r-1}$ . This implies that  $c \le a \le b \le n-c$ .

Let  $X \in \binom{A}{\ell}$ . We first estimate  $|\bigcap_{X' \subset \binom{X}{\ell}} N(X')|$ . Since every  $X' \subset \binom{A}{r-1}$  has at most b-c*non*-neighbors in B, the number of their common neighbors is at least  $b - \binom{\ell}{r-1}(b-c)$ . Similarly  $|\bigcap_{Y'\subset \binom{Y}{\ell}} N(Y')| \geqslant a - \binom{\ell}{r-1}(a-c)$  for every  $Y\in \binom{B}{\ell}$ .

The key to our proof is to estimate  $\Phi$ , the number of  $X \cup Y$  with  $X \in \binom{A}{\ell}$  and  $Y \in \binom{B}{\ell}$  such that either

- $X' \cup \{y\} \in E(G_n)$  for all  $X' \in \binom{X}{r-1}$  and  $y \in Y$  or  $Y' \cup \{x\} \in E(G_n)$  for all  $Y' \in \binom{Y}{r-1}$  and  $x \in X$ .

Trivially  $\Phi \leq \binom{a}{\ell} \binom{b}{\ell}$ . On the other hand, because  $G_n$  does not contain  $K^r(\ell, \ell)$ , we have

$$\Phi \geqslant \sum_{X \in \binom{A}{\ell}} \binom{|\bigcap_{X' \subseteq \binom{X}{r-1}} N(X')|}{\ell} + \sum_{Y \in \binom{B}{\ell}} \binom{|\bigcap_{Y' \subseteq \binom{Y}{r-1}} N(Y')|}{\ell}$$

$$\geqslant \binom{a}{\ell} \binom{b - \binom{\ell}{r-1}(b-c)}{\ell} + \binom{b}{\ell} \binom{a - \binom{\ell}{r-1}(a-c)}{\ell}$$

$$= \binom{a}{\ell} \binom{ta - (tn - (t+1)c)}{\ell} + \binom{b}{\ell} \binom{tb - (tn - (t+1)c)}{\ell},$$

where  $t = \binom{\ell}{r-1} - 1 \ge 0$  (equality holds if and only if  $\ell = r - 1$ ).

For fixed t, c, n, define the function  $f(x) = {x \choose \ell} {tx - (tn - (t+1)c) \choose \ell}$  for  $x \in [c, n-c]$ . After rewriting,

$$f(x) = \frac{\prod_{i=0}^{l-1} (x-i) \prod_{i=0}^{l-1} (tx - (tn - (t+1)c) - i)}{(l!)^2}.$$

We claim that the second derivative  $f''(x) \ge 0$  for  $x \in [c, n-c]$ . By differentiation, this claim holds as long as each term in the products in the numerator is non-negative and has non-negative derivative. Since n is sufficiently large,  $x - l + 1 \ge c - l + 1 > 0$  so each term in the first product is positive. To show the same for each term in the second product, it suffices to show that  $tc \ge tn - (t+1)c + (\ell-1)$ . Since  $c > \rho n$ , it is enough to show that  $\frac{1}{2}(1 - \frac{1}{t+1} + \frac{1}{2^{1/\ell}(t+1)}) > \frac{t}{2t+1}$ . This holds since

$$\frac{1}{2} \left( 1 - \frac{1}{t+1} + \frac{1}{2^{1/\ell}(t+1)} \right) > \frac{1}{2} \left( 1 - \frac{1}{t+1} + \frac{1}{2(t+1)} \right) > \frac{1}{2} \left( 1 - \frac{1}{2t+1} \right) = \frac{t}{2t+1}.$$

The derivatives of the terms are 1 and t, which are both non-negative. We therefore conclude that  $f''(x) \ge 0$  and consequently f(x) is convex on [c, n-c]. Hence

$$\Phi \geqslant f(a) + f(b) \geqslant 2f\left(\frac{a+b}{2}\right) = 2f(n/2) = 2\binom{n/2}{\ell}\binom{(t+1)c - tn/2}{\ell}.$$

On the other hand, since  $\ln {x \choose l}$  is a concave function, we have  $\Phi \leq {a \choose l}{b \choose l} \leq {n/2 \choose l}^2$ . Putting the lower and upper bounds for  $\Phi$  together yields

$$2\binom{n/2}{\ell}\binom{(t+1)c-tn/2}{\ell} \leqslant \binom{n/2}{\ell}^2.$$

But this implies that as  $n \to \infty$ .

$$c \leq \frac{n}{2} \left( 1 - \frac{1}{t+1} + \frac{1}{(t+1)2^{1/\ell}} \right) + o(n) = \frac{n}{2} \left( 1 - \frac{1}{\binom{\ell}{r-1}} + \frac{1}{\binom{\ell}{r-1} 2^{1/\ell}} \right) + o(n)$$

$$= \rho n + o(n),$$

contradicting the assumption that  $c \ge (\rho + \varepsilon)n$ .

**Proof of Theorem 1.8.** Let  $\ell = \ell(r)$  be as in Proposition 4.2 and  $\rho$  as in Proposition 4.3. For any  $0 < \varepsilon < \frac{1}{2} - \rho$  we will construct a finite family  $\mathcal{F}$  of r-graphs such that

$$\frac{1}{4} \leqslant \gamma(\mathcal{F}) \leqslant \rho + \varepsilon < \frac{1}{2} \leqslant \min_{F \in \mathcal{F}} \gamma(F). \tag{5}$$

Let  $m = \max\{M(\varepsilon/2), N(\varepsilon/2)\} + 1$ , where M is the threshold function in Lemma 2.1 and N is the threshold function in Proposition 4.3. Let  $\mathcal{F}_0$  be the family of r-graphs on at most m vertices which are not 2-colorable. We observe that  $\min_{F \in \mathcal{F}_0} \gamma(F) \geqslant \gamma(\mathcal{F}_0) \geqslant 1/2$ . In fact, for any n, the following r-graph  $G_n$  is 2-colorable and satisfies  $\mathcal{C}(G_n) = \lfloor n/2 \rfloor$ :  $V(G_n)$  contains

two disjoint vertex sets A and B of sizes differing by at most 1,  $E(G_n)$  contains all the edges intersecting both A and B.

We now show that (5) holds for  $\mathcal{F} = \mathcal{F}_0 \cup \{K^r(\ell,\ell)\}$ . Proposition 4.2 says that  $\gamma(K^r(\ell,\ell)) \geqslant 1/2$ . Together with  $\min_{F \in \mathcal{F}_0} \gamma(F) \geqslant 1/2$ , we conclude that  $\min_{F \in \mathcal{F}} \gamma(F) \geqslant 1/2$ . On the other hand, we claim that  $\frac{1}{4} \leqslant \gamma(\mathcal{F}) \leqslant \rho + \varepsilon$  and thus (5) follows.

To see that  $\gamma(\mathcal{F}) \geqslant \frac{1}{4}$ , let  $H_n^r$  be the  $K^r(\ell,\ell)$ -free r-graph as in the proof of Proposition 4.2. We randomly partition  $V(H_n^r)$  into two almost equal parts and remove all the edges within each part. The resulting r-graph  $\tilde{H}_n^r$  is also  $K^r(\ell,\ell)$ -free and satisfies  $C(\tilde{H}_n^r) \geqslant \frac{n}{4} - o(n)$  with positive probability. Hence  $\gamma(\mathcal{F}) \geqslant \frac{1}{4}$ .

To see that  $\gamma(\mathcal{F}) \leqslant \rho + \varepsilon$ , let  $G_n$  be an r-graph with n > m and  $\mathcal{C}(G_n) \geqslant (\rho + \varepsilon)n$ . By Lemma 2.1,  $G_n$  has a subgraph  $G'_m$  with  $\mathcal{C}(G'_m) \geqslant (\rho + \varepsilon/2)m$ . If  $G'_m$  is not 2-colorable, then  $G'_m$  itself is a member of  $\mathcal{F}$ . Otherwise, since  $m > N(\varepsilon/2)$  and  $\mathcal{C}(G'_m) \geqslant (\rho + \varepsilon/2)m$ , Proposition 4.3 guarantees that  $G'_m$  contains a copy of  $K^r(\ell, \ell)$ . Therefore G always contains a member of  $\mathcal{F}$  as a subgraph. Consequently  $\operatorname{co-ex}(n, \mathcal{F}) \leqslant (\rho + \varepsilon)n$  for all n > m and thus  $\gamma(\mathcal{F}) \leqslant \rho + \varepsilon$ .  $\square$ 

#### 5. Concluding remarks and open problems

- Theorem 1.6 and Proposition 3.1 together imply that the set  $\{\gamma(\mathcal{F}): \mathcal{F} \text{ is a finite family}\}$  is dense on [0,1), i.e., for all  $0 \le \alpha < \beta < 1$ , there exists a finite family of r-graphs such that  $\gamma(\mathcal{F}) \in (\alpha,\beta)$ . It would be interesting to describe the set  $\{\gamma(F): F \text{ is an } r\text{-graph}\}$ . For example, does Theorem 1.6 still hold when  $\mathcal{F}$  in Definition 1.5 is replaced by a single r-graph F? This question is also related to the principality: if there exist  $0 \le \alpha < \beta < 1$  such that  $\gamma(F) \notin (\alpha,\beta)$  for every r-graph F, then every finite family  $\mathcal{F}$  with  $\gamma(\mathcal{F}) \in (\alpha,\beta)$  (such  $\mathcal{F}$  exists by Theorem 1.6 and Proposition 3.1) is non-principal.
- We have mentioned the problem of verifying  $\gamma(K_4^3) < \pi(K_4^3)$  in our introduction. Applying Proposition 4.3 with r=3 and  $\ell=2$ , we obtain that every 2-colorable 3-graph  $G_n$  with  $c(G_n) > \frac{1}{2\sqrt{2}}$  (and large n) contains a copy of  $K_4^3$ . Is this constant  $\frac{1}{2\sqrt{2}}$  sharp here? From (5) we know it cannot be reduced to a number smaller than 1/4.
- Parallel to the situation for  $\pi$ , it would be interesting to construct two r-graphs  $F_1$ ,  $F_2$  such that  $0 < \gamma(\{F_1, F_2\}) < \min\{\gamma(F_1), \gamma(F_2)\}$  (Sudakov pointed out that such a construction for even  $r \ge 4$  can be obtained by following the ideas in [23]).

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