

# Are there still open problems about polynomials? Old and new questions with an elementary flavor

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## Definition

Let  $f = f(X) = a_n X^n + a_{n-1} X^{n-1} + \cdots + a_1 X + a_0$ , where  $a_0, \dots, a_n$  are complex numbers,  $a_n \neq 0$ . Then  $\alpha \in \mathbb{C}$  is called a *root* for  $f$  if  $f(\alpha) = 0$ .

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## Proposition

Given a polynomial  $f \in \mathbb{C}[X]$  and  $\alpha \in \mathbb{C}$ , there exists a unique  $q(x) \in \mathbb{C}[X]$  such that  $f(x) = (X - \alpha)g(X) + f(a)$ . In fact  $X - \alpha$  divides  $f(X)$  if and only if  $f(\alpha) = 0$ .

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Let  $f(x) \in \mathbb{C}[X]$  and  $x \in \mathbb{C}$ , a root of  $f$ . We say that the root  $\alpha \in \mathbb{C}$  for  $f$  has multiplicity  $k$  if  $(X - \alpha)^k$  divides  $f$  but  $(X - \alpha)^{k+1}$  does not. This is equivalent to saying that  $f = (X - \alpha)^k g$ ,  $g \in \mathbb{C}[X]$  and  $g(x) \neq 0$ .

## Theorem (Fundamental Theorem of Algebra)

Let  $f = f(X) = a_n X^n + a_{n-1} X^{n-1} + \cdots + a_1 X + a_0$ , where  $a_0, \dots, a_n$  are complex numbers,  $a_n \neq 0$  and  $n \geq 1$ . Then there exists  $\alpha \in \mathbb{C}$  root for  $f$ .

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The roots of the derivative of  $f$  are called the *critical points* of  $f$ .

Let  $f(x) = ax^2 + bx + c$ , where  $a \neq 0$ ,  $b, c$  are real numbers. Then the roots of  $f$  are  $\frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$ .

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A set  $S$  in  $\mathbb{R}^2$  is convex if for any two points  $a, b \in S$  the segment joining  $a$  and  $b$  is also in  $S$ . The convex hull of an arbitrary set  $A$  is the smallest convex hull containing  $A$ , i.e. the intersection of all convex sets in the plane containing the given set  $A$ .

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The convex set of two points is the segment joining them. The convex set of a finite set of points in  $\mathbb{R}^2$  is the the smallest convex polygon containing them (which has its vertices as subset of the original set).

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## Theorem (Gauss-Lucas)

The critical points of a polynomial  $f$  lie inside the convex hull made by its roots.

Let  $f(x) = a \cdot (x - \alpha)^n$ , with  $n \geq 2$ . Then  
 $f^{(i)}(x) = a \cdot n \cdots (n - i + 1)(x - \alpha)^{n-i}$ .

Clearly  $f$  and  $f^{(i)}$  share a root for all  $1 \leq i \leq n$ .

In 2001, E. Casas-Alvero formulated the following conjecture

### Conjecture (Casas-Alvero)

For a polynomial  $f$  of degree  $n \geq 2$ , if  $f$  and  $f^{(i)}$  share a root for every  $1 \leq i \leq n$ , then  $f(X) = a(x - \alpha)^n$ , for constants  $a, \alpha$ .

One of the basic approaches to this conjecture is based upon the concept of *resultant*. The resultant of two polynomials is a tool that allows us to check whether two polynomials share a root, without finding the respective root.

Let  $f(x) = a_0x^n + \cdots + a_{n-1}x + a_n$ , where  $a_0 \neq 0$  and  
 $g(x) = b_0x^m + \cdots + b_{m-1}x + b_m$ , where  $b_0 \neq 0$ .

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The resultant is the determinant of the so called the Sylvester matrix of  $f, g$  (size  $n + m$  by  $n + m$ ):

$$R(f, g) = \begin{vmatrix} a_0 & a_1 & \cdots & a_n & 0 & \cdots & 0 \\ 0 & a_0 & \cdots & a_{n-1} & a_n & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \\ b_0 & b_1 & \cdots & b_m & 0 & \cdots & 0 \\ 0 & b_0 & \cdots & b_{m-1} & b_m & \cdots & 0 \\ \cdots & \cdots & \cdots & \cdots & \cdots & \cdots & \cdots \end{vmatrix}$$

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Note that  $n, m$  are different that in fact one should not infer from the above presentation that  $a_n$  and  $b_m$  lie on same column. Also, the first  $m$  rows contain only  $a$ 's and the remaining  $n$  rows contain only  $b$ 's.

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## Theorem

The polynomials  $f, g$  share a root if and only if  $R(f, g) = 0$ .

Progress on the conjecture:

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In 1998 at an Invited Address in Baltimore at the Joint Meetings of AMS and MAA, Hochster showed the following statement:

### Theorem

Let  $f, g, h \in R = \mathbb{C}[x, y]$ . Then

$$f^2 g^2 h^2 \in (f^3, g^3, h^3).$$

Hochster has asked for an elementary proof of this statement.

### Example (Polstra)

We have

$$(x+y)^2(x-y)^2x^2 = \frac{x^2y}{2}(x+y)^3 + \left(-\frac{x^2y}{2}\right)(x-y)^3 + (x^3 - 2xy^2 - 3y^2)x^3.$$

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### Proposition (Polstra)

Let  $f, g, h \in R$  and further assume  $f = x + h_1(y)$ , where  $h_1 \in \mathbb{C}[y]$ . Then

$$f^2g^2h^2 \in (f^3, g^3, h^3).$$

The techniques behind the proof offered by Hochster go beyond a standard course in commutative algebra:

Integral closure of ideals, reductions of ideals and minimal reductions, analytic spread and Briançon-Skoda Theorem.

The approach offers a generalization as well to  $n$  variables.

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### Conjecture (Sendov)

Let  $f \in \mathbb{C}[z]$  be a polynomial of degree  $n \geq 1$ . Assume that the roots are inside the closed unit disk, ie inside

$$D = \{z \in \mathbb{C} : |z| \leq 1\}.$$

Then any root of  $f$  is within distance less than or equal to one from a critical point of  $f$ .

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The conjecture has been proven for  $n < 9$  by Brown-Xiang (1999) and for  $n$  sufficiently large by Tao (2020).