

# ASYMPTOTIC GROWTH OF POWERS OF IDEALS

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ABSTRACT. Let  $A$  be a locally analytically unramified local ring and  $J_1, \dots, J_k, I$  ideals such that  $J_i \subseteq \sqrt{I}$  for all  $i$ , the ideal  $I$  is not nilpotent, and  $\bigcap_k I^k = (0)$ . Let  $C = C(J_1, \dots, J_k; I) \subseteq \mathbb{R}^{k+1}$  be the cone generated by  $\{(m_1, \dots, m_k, n) \in \mathbb{N}^{k+1} \mid J_1^{m_1} \dots J_k^{m_k} \subseteq I^n\}$ . We prove that the topological closure of  $C$  is a rational polyhedral cone. This generalizes results by Samuel, Nagata, and Rees.

## INTRODUCTION

In this note we continue the study of the asymptotic properties of powers of ideals initiated by Samuel in [8]. Let  $A$  be a commutative noetherian ring with identity and  $I, J$  ideals in  $A$  with  $J \subseteq \sqrt{I}$ . Also, assume that the ideal  $I$  is not nilpotent and  $\bigcap_k I^k = (0)$ . Then for each positive integer  $m$  one can define  $v_I(J, m)$  to be the largest integer  $n$  such that  $J^m \subseteq I^n$ . Similarly,  $w_J(I, n)$  is defined to be the smallest integer  $m$  such that  $J^m \subseteq I^n$ . Under the above assumptions, Samuel proved that the sequences  $\{v_I(J, m)/m\}_m$  and  $\{w_J(I, n)/n\}_n$  have limits  $l_I(J)$  and  $L_J(I)$ , respectively, and  $l_I(J)L_J(I) = 1$  [8, Theorem 1]. It is also observed that these limits are actually the supremum and infimum of the respective sequences. One of the questions raised in Samuel's paper is whether  $l_I(J)$  is always rational. This has been positively answered by Nagata [4] and Rees [5]. The approach used by Rees is described in the next section of this paper.

We consider the following generalization of the problem described above. Let  $J_1, \dots, J_k, I$  be ideals in a locally analytically unramified ring  $A$  such that  $J_i \subseteq \sqrt{I}$  for all  $i$ ,  $I$  is not nilpotent, and  $\bigcap_k I^k = (0)$ , and let  $C = C(J_1, \dots, J_k; I) \subseteq \mathbb{R}^{k+1}$  be the cone generated by  $\{(m_1, \dots, m_k, n) \in \mathbb{N}^{k+1} \mid J_1^{m_1} \dots J_k^{m_k} \subseteq I^n\}$ . We prove that the topological closure of  $C$  is a rational polyhedral cone; i.e., a polyhedral cone bounded by hyperplanes whose equations have rational coefficients. Note that the case  $k = 1$  follows from the results proved by Samuel, Nagata, and Rees; the cone  $C$  is the intersection of the half-planes given by  $n \geq 0$  and  $n \leq l_I(J)m_1$ . In Section 3 we look at the periodicity of the rate of change of the sequence  $\{v_I(J, m)\}_m$ , more precisely, the periodicity of the sequence  $\{v_I(J, m+1) - v_I(J, m)\}_m$ . The last part of the paper describes a method of computing the limits studied by Samuel in the case of monomial ideals.

## 1. THE REES VALUATIONS OF AN IDEAL

In this section we give a brief description of the Rees valuations associated to an ideal.

For a noetherian ring  $A$  which is not necessarily an integral domain, a discrete valuation on  $A$  is defined as follows.

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**Definition 1.1.** Let  $A$  be a noetherian ring. We say that  $v : A \rightarrow \mathbb{Z} \cup \{\infty\}$  is a discrete valuation on  $A$  if  $\{x \in A \mid v(x) = \infty\}$  is a prime ideal  $P$ ,  $v$  factors through  $A \rightarrow A/P \rightarrow \mathbb{Z} \cup \{\infty\}$ , and the induced function on  $A/P$  is a rank one discrete valuation on  $A/P$ . If  $I$  is an ideal in  $A$ , then we denote  $v(I) := \min\{v(x) \mid x \in I\}$ .

If  $R$  is a noetherian ring, we denote by  $\overline{R}$  the integral closure of  $R$  in its total quotient ring  $Q(R)$ .

**Definition 1.2.** Let  $I$  be an ideal in a noetherian ring  $A$ . An element  $x \in A$  is said to be integral over  $I$  if  $x$  satisfies an equation  $x^n + a_1x^{n-1} + \dots + a_n = 0$  with  $a_i \in I^i$ . The set of all elements in  $A$  that are integral over  $I$  is an ideal  $\overline{I}$ , and the ideal  $I$  is called integrally closed if  $I = \overline{I}$ . If all the powers  $I^n$  are integrally closed, then  $I$  is said to be normal.

Given an ideal  $I$  in a noetherian ring  $A$ , for each  $x \in A$  let  $v_I(x) = \sup\{n \in \mathbb{N} \mid x \in I^n\}$ . Rees [5] proved that for each  $x \in A$  one can define

$$\overline{v}_I(x) = \lim_{k \rightarrow \infty} \frac{v_I(x^k)}{k},$$

and for each integer  $n$  one has  $\overline{v}_I(x) \geq n$  if and only if  $x \in \overline{I}^n$ . Moreover, there exist discrete valuations  $v_1, \dots, v_h$  on  $A$  in the sense defined above, and positive integers  $e_1, \dots, e_h$  such that, for each  $x \in A$ ,

$$(1.1) \quad \overline{v}_I(x) = \min \left\{ \frac{v_i(x)}{e_i} \mid i = 1, \dots, h \right\}.$$

We briefly describe a construction of the Rees valuations  $v_1, \dots, v_h$ . Let  $\mathfrak{p}_1, \dots, \mathfrak{p}_g$  be the minimal prime ideals  $\mathfrak{p}$  in  $A$  such that  $\mathfrak{p} + I \neq A$ , and let  $\mathcal{R}_i(I)$  be the Rees ring  $(A/\mathfrak{p}_i)[It, t^{-1}]$ . Denote by  $W_{i1}, \dots, W_{ih_i}$  the rank one discrete valuation rings obtained by localizing the rings  $\overline{\mathcal{R}_i(I)}$  at the minimal primes over  $t^{-1}\overline{\mathcal{R}_i(I)}$ , let  $w_{ij}$  ( $i = 1, \dots, g, 1 \leq j \leq h_i$ ) be the corresponding discrete valuations, and let  $V_{ij} = W_{ij} \cap Q(A/\mathfrak{p}_i)$  ( $i = 1, \dots, g$ ). Then define  $v_{ij}(x) := w_{ij}(x + \mathfrak{p}_i)$  and  $e_{ij} := w_{ij}(t^{-1}) (= v_{ij}(I))$  for all  $i$ , and for simplicity, renumber them as  $e_1, \dots, e_h$  and  $v_1, \dots, v_h$ , respectively.

Rees [5] proved that  $v_1, \dots, v_h$  are valuations satisfying (1.1). We refer the reader to the original article [5] for more details on this construction.

*Remark 1.3.* With the notation established above, for every positive integer  $n$  we have

$$\overline{I}^n = \bigcap_{i=1}^h I^n V_i \cap R.$$

In particular, we have the following.

*Remark 1.4.* If  $K, L$  are ideals in  $A$ ,  $v_1, \dots, v_h$  are the Rees valuations of  $L$ , and  $v_i(K) \geq v_i(L)$  for all  $i = 1, \dots, h$ , then  $\overline{K} \subseteq \overline{L}$ .

The rationality of  $l_I(J)$  can now be obtained as consequence of the results of Rees. Indeed, by [8, Theorem 2], if  $J = (a_1, \dots, a_s)$ , then  $l_I(J) = \min\{l_I(a_i) \mid i = 1, \dots, s\}$ , and for each  $i$  we have  $l_I(a_i) = \overline{v}_I(a_i)$ , which is rational.

Finally, recall the following definition.

**Definition 1.5.** A local noetherian ring  $(A, \mathfrak{m})$  is analytically unramified if its  $\mathfrak{m}$ -adic completion  $\hat{A}$  is reduced.

Rees [6] proved that for every ideal  $I$  in an analytically unramified ring there exists an integer  $k$  such that for all  $n \geq 0$ ,  $\overline{I^{n+k}} \subseteq I^n$ .

## 2. THE CONE STRUCTURE

Throughout this section  $A$  is a locally analytically unramified ring and  $I$  and  $\underline{J} = J_1, \dots, J_k$  are ideals in  $A$  such that  $J_i \subseteq \sqrt{I}$  for all  $i$ . Let  $C = C(J_1, \dots, J_k; I) \subseteq \mathbb{R}^{k+1}$  denote the cone generated by  $\{(m_1, \dots, m_k, n) \in \mathbb{N}^{k+1} \mid J_1^{m_1} \dots J_k^{m_k} \subseteq I^n\}$ . Also, for each  $(m_1, \dots, m_k) \in \mathbb{N}^k$ , let  $v_I(\underline{J}, m_1, \dots, m_k)$  denote the largest nonnegative integer  $n$  such that  $J_1^{m_1} \dots J_k^{m_k} \subseteq I^n$ .

For each Rees valuation  $v_j$  of  $I$ , denote  $\alpha_{ij} = v_j(J_i)/e_j$  for all  $i, j$ , where  $e_j = v_j(I)$ . Then we consider

$$D_j = \{(m_1, \dots, m_k) \in \mathbb{R}_{\geq 0}^k \mid \sum_{s=1}^k m_s \alpha_{sj} \leq \sum_{s=1}^k m_s \alpha_{sl} \text{ for all } l \neq j\},$$

and we say that a Rees valuation  $v_j$  is relevant if  $D_j \neq \{0\}$ . After a renumbering, assume that  $v_1, v_2, \dots, v_r$  ( $r \leq h$ ) are the relevant Rees valuations.

Note that each  $D_j$  is an intersection of half-spaces (hence a polyhedral cone),  $\bigcup_{j=1}^r D_j = \mathbb{R}_{\geq 0}^k$ , and two cones  $D_i, D_j$  ( $i \neq j$ ) either intersect along one common face or have only the origin in common. Let

$$E_j = \{(m_1, \dots, m_k, n) \in \mathbb{R}_+^{k+1} \mid (m_1, \dots, m_k) \in D_j \text{ and } n < \sum_{s=1}^k m_s \alpha_{sj}\}$$

and

$$\overline{E}_j = \{(m_1, \dots, m_k, n) \in \mathbb{R}_+^{k+1} \mid (m_1, \dots, m_k) \in D_j \text{ and } n \leq \sum_{s=1}^k m_s \alpha_{sj}\}.$$

**Theorem 2.1.** *Let  $A$  be a locally analytically unramified ring. Then for each  $j = 1, \dots, r$  we have*

$$E_j \cap \mathbb{Q}^{k+1} \subseteq C \cap (D_j \times \mathbb{R}_{\geq 0}) \subseteq \overline{E}_j.$$

*Proof.* Let  $(m_1, \dots, m_k, n) \in C \cap (D_j \times \mathbb{R}_{\geq 0})$ . Then there exists  $t \in \mathbb{R}$  such that  $tm_1, \dots, tm_k$  are positive integers and

$$J_1^{tm_1} \dots J_k^{tm_k} \subseteq I^{tn}.$$

Hence, for each Rees valuation  $v_j$  of  $I$  we obtain

$$tm_1 v_j(J_1) + \dots + tm_k v_j(J_k) \geq tnv_j(I),$$

or equivalently,

$$n \leq \sum_{s=1}^k m_s \alpha_{sj}.$$

For the other inclusion, first observe that it is enough to prove that  $E_j \cap \mathbb{Z}^{k+1} \subseteq C \cap (D_j \times \mathbb{R}_{\geq 0})$ . Indeed, if  $E_j \cap \mathbb{Z}^{k+1} \subseteq C \cap (D_j \times \mathbb{R}_{\geq 0})$ , then for each  $\alpha \in E_j \cap \mathbb{Q}^{k+1}$  there exists a positive integer  $L$  such that  $\alpha L \in E_j \cap \mathbb{Z}^{k+1} \subseteq C \cap (D_j \times \mathbb{R}_{\geq 0})$ . This implies that  $\alpha \in (1/L)(C \cap (D_j \times \mathbb{R}_{\geq 0})) = C \cap (D_j \times \mathbb{R}_{\geq 0})$ .

Let  $(m_1, \dots, m_k, n) \in E_j \cap \mathbb{Z}^{k+1}$ . Set  $\alpha = \sum_{s=1}^k m_s \alpha_{sj}$ . Since the ring  $A$  is analytically unramified, there exists an integer  $N$  such that  $\overline{I^t} \subseteq I^{t-N}$  for all  $t$ . (The convention is that

$I^n = A$  for  $n \leq 0$ .) Let  $g$  be the integer part of  $\alpha$ . For any Rees valuation  $v_i$  of  $A$  we then get

$$v_i(I^g) = ge_i \leq \alpha e_i \leq \left( \sum_{s=1}^k m_s \alpha_{si} \right) e_i = v_i(J_1^{m_1} \dots J_k^{m_k}),$$

and hence, by Remark 1.4,

$$J_1^{m_1} \dots J_k^{m_k} \subseteq \overline{I^g} \subseteq I^{g-N}.$$

This implies that

$$(2.1) \quad v_I(\underline{J}, m_1, \dots, m_k) \geq g - N > \alpha - 1 - N.$$

Since  $n < \alpha$ , we can find  $\delta > 0$  such that  $n < \alpha - \delta$ . Choose  $l$  such that  $l\delta > N + 1$  and  $lm_1, \dots, lm_k, ln$  are integers. By (2.1), we obtain  $v_I(\underline{J}, lm_1, \dots, lm_k) > l\alpha - N - 1$ , and by the choice of  $l$ , we also have  $nl < l\alpha - N - 1$ . Then  $nl < v_I(\underline{J}, lm_1, \dots, lm_k)$ , which implies that  $J_1^{lm_1} \dots J_k^{lm_k} \subseteq I^{ln}$ ; i.e.,  $(m_1, \dots, m_k, n) \in C$ .  $\square$

**Corollary 2.2.** *The topological closure of  $C$  is a rational polyhedral cone.*

*Proof.* From the previous theorem it follows that the topological closure of  $C \cap (D_j \times \mathbb{R}_{\geq 0})$  is  $\overline{E}_j$ , and hence the topological closure of  $C$  is the polyhedral cone bounded by the hyperplanes  $n = \sum_{s=1}^k m_s \alpha_{sj}$  ( $j = 1, \dots, r$ ) and the coordinate hyperplanes.  $\square$

A detailed example of Corollary 2.2 is given below in Example 2.5.

**Corollary 2.3.** *Let  $a_1, a_2, \dots, a_k$  be real numbers. The limit*

$$(2.2) \quad \lim_{m_1, \dots, m_k \rightarrow \infty} \frac{v_I(\underline{J}, m_1, \dots, m_k)}{a_1 m_1 + \dots + a_k m_k}$$

*exists if and only if there exists a rational number  $l$  such that  $la_s = \alpha_{s1} = \alpha_{s2} = \dots = \alpha_{sr}$  for all  $s = 1, \dots, k$ . In this case the limit is equal to  $l$ .*

*Proof.* Since the polyhedral cones  $D_j$  form a partition of  $\mathbb{R}_{\geq 0}^k$ , the limit (2.2) exists and is equal to  $l$  if and only if for each  $j$  we have

$$(2.3) \quad \lim_{\substack{m_1, \dots, m_k \rightarrow \infty \\ (m_1, \dots, m_k) \in D_j}} \frac{v_I(\underline{J}, m_1, \dots, m_k)}{a_1 m_1 + \dots + a_k m_k} = l.$$

On the other hand, (2.3) holds if and only if  $la_s = \alpha_{sj}$  for all  $s = 1, \dots, k$ . Indeed, this limit exists and is equal to  $l$  if and only if over  $D_j$  the topological closure of  $C$  is bounded by the hyperplane  $n = la_1 m_1 + \dots + la_k m_k$ , which therefore should coincide with the hyperplane  $n = \sum_{s=1}^k m_s \alpha_{sj}$ .

In conclusion, the limit (2.2) exists and is equal to  $l$  if and only if all the hyperplanes  $n = \sum_{s=1}^k m_s \alpha_{sj}$  ( $j = 1, \dots, r$ ) coincide with  $n = la_1 m_1 + \dots + la_k m_k$ , or equivalently,  $la_s = \alpha_{s1} = \alpha_{s2} = \dots = \alpha_{sr}$  for all  $s = 1, \dots, k$ .  $\square$

**Corollary 2.4.** *Assume that the ideal  $I$  has only one Rees valuation. Then the limit*

$$\lim_{m_1, \dots, m_k \rightarrow \infty} \frac{v_I(\underline{J}, m_1, \dots, m_k)}{a_1 m_1 + \dots + a_k m_k}$$

*exists if and only if  $l_I(J_1)/a_1 = \dots = l_I(J_k)/a_k$ .*

*Proof.* This is a particular case of the previous Corollary.  $\square$

**Example 2.5.** Let  $A = \mathbb{R}[[X, Y, Z]]/(XY^2 - Z^9)$  and  $I = (x, y, z)R$  as in [3, Example 3.1]. Then  $\mathcal{R}(I) = A[It, t^{-1}]$ ,  $\mathcal{R}(I)/t^{-1}\mathcal{R}(I) \cong Q[xt, yt, zt]/(xt)(yt)$ , and there are two Rees valuations  $v_1$  and  $v_2$ , corresponding to the minimal primes  $\mathfrak{p}_1 = (xt, t^{-1})$  and  $\mathfrak{p}_2 = (yt, t^{-1})$ , over  $t^{-1}\mathcal{R}(I)$ . As shown in [3, Example 3.1], we have  $v_1(x) = 7, v_1(y) = v_1(z) = 1$  and  $v_2(x) = v_2(z) = 1, v_2(y) = 4$ . Thus  $v_1(I) = \min\{v_1(x), v_1(y), v_1(z)\} = 1$ . Likewise  $v_2(I) = 1$ . Set  $J_1 = (x, z^2)$  and  $J_2 = (y^2, z^3)$ . Then  $v_1(J_1) = 2, v_2(J_1) = 1$ , and  $v_1(J_2) = 2, v_2(J_2) = 3$ . Therefore,  $E_1 = \{(m_1, m_2, n) | n \leq 2m_1 + 2m_2\}$  and  $E_2 = \{(m_1, m_2, n) | n \leq m_1 + 3m_2\}$ . The boundary planes of  $E_1$  and  $E_2$  in  $\mathbb{R}^3$  are  $z = 2x + 2y$  and  $z = x + 3y$ , respectively. Thus, according to the results of Corollary 2.2, the topological closure of the cone generated by  $\{(m_1, m_2, n) | J_1^{m_1} J_2^{m_2} \subseteq I^n\}$  is as pictured below.

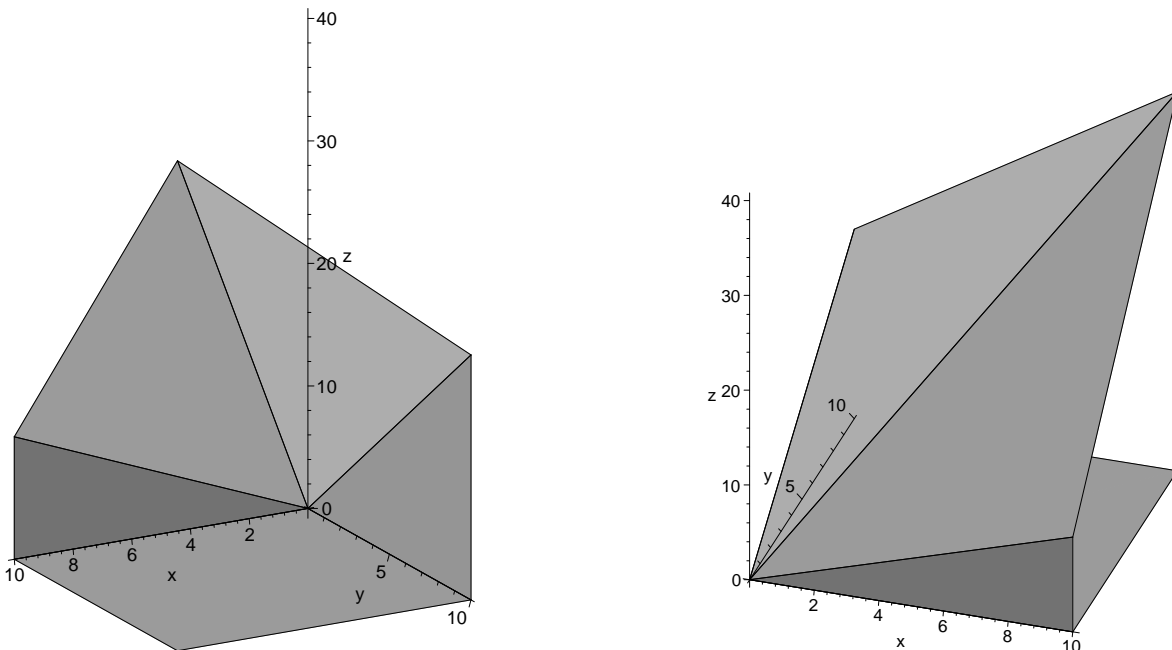


FIGURE 1. View from the front and rotated  $90^\circ$  ctr-clockwise around the  $z$ -axis.

**Example 2.6.** Let  $A = k[[X, Y]]$ , with  $k$  a field, and  $I = (x^3, x^2y, y^2)$ . As shown in [7],  $I$  has only one associated Rees valuation. Let  $J_1 = (x^3y^7)$ ,  $J_2 = (x^4y^6)$ , and  $J_3 = (x^5y^2)$ . Using the methods in Section 4, we can compute  $l_I(J_1) = 9/2$ ,  $l_I(J_2) = 13/3$ , and  $l_I(J_3) = 8/3$ . Then by Corollary 2.4, the limit

$$\lim_{m_1, m_2, m_3 \rightarrow \infty} \frac{v_I(J_1, J_2, J_3, m_1, m_2, m_3)}{27m_1 + 26m_2 + 16m_3}$$

exists and equals  $\frac{1}{6}$  since  $\frac{l_I(J_1)}{27} = \frac{l_I(J_2)}{26} = \frac{l_I(J_3)}{16} = \frac{1}{6}$ .

### 3. PERIODIC INCREASE

In this section we take a closer look at the graph of the sequence  $\{v_I(J, m)\}_m$ . To simplify the notation we will simply write  $v(m)$  instead of  $v_I(J, m)$ .

We address the question of whether this sequence increases eventually in a periodic way; that is, whether or not there exists a positive integer  $t$  such that  $v(m+t) - v(m+t-1) = v(m) - v(m-1)$  for  $m \gg 0$ , or equivalently,  $v(m+t) - v(m) = \text{constant}$ , for  $m \gg 0$ . Our work is partly motivated by [4, Theorem 8], where Nagata proves that the deviation  $v(m) - l_I(J)m$  is bounded. In particular, this implies that there exists a positive constant  $C$  such that  $0 \leq v(m+t) - v(m) - v(t) < C$  for all  $m, t$ .

We begin by defining noetherian filtrations.

**Definition 3.1.** A family of ideals  $\mathcal{F} = \{F_m\}_{m \geq 0}$  in a noetherian ring  $A$  is called a filtration if  $F_0 = A$ ,  $F_{m+1} \subseteq F_m$ , and  $F_m F_n \subseteq F_{m+n}$  for all  $m, n \geq 0$ . We say that the filtration  $\{F_m\}_{m \geq 0}$  is noetherian if the associated graded ring  $\bigoplus_{m \geq 0} F_m$  is noetherian. Equivalently, the filtration  $\mathcal{F}$  is noetherian if and only if there exists  $t$  such that  $F_{m+t} = F_m F_t$  for all  $m \geq t$  ([1, 4.5.12]).

**Proposition 3.2.** Let  $I, J$  be ideals in a noetherian local ring  $A$  such that  $J \subseteq \sqrt{I}$ , the ideals  $I, J$  are not nilpotent, and  $\bigcap_k I^k = (0)$ . Assume that  $J$  is principal and the ring  $\mathcal{B} = \bigoplus_{m,n} J^m \cap I^n$  is noetherian. Then there exists a positive integer  $t$  such that  $v(m+t) = v(m) + v(t)$  for all  $m \geq t$ .

*Proof.* In the ring  $\bigoplus_{n \geq 0} I^n$  consider the filtration  $\{F_m\}$  with  $F_m = \bigoplus_{n \geq 0} J^m \cap I^n$ . Since  $\mathcal{B} = \bigoplus_{m \geq 0} F_m$  is noetherian, there exists a positive integer  $t$  such that  $F_{m+t} = F_m F_t$  for all  $m \geq t$ . We will prove that this implies  $v(m+t) = v(m) + v(t)$  for all  $m \geq t$ . First note that the inequality  $v(m+t) \geq v(m) + v(t)$  always holds. By contradiction, assume that  $v(m+t) > v(m) + v(t)$  for some  $m \geq t$ . This implies that the component of degree  $v(m) + v(t) + 1$  in  $F_{m+t}$  is  $J^{m+t}$ , and since  $F_{m+t} = F_m F_t$  we then obtain

$$J^{m+t} = J^t (J^m \cap I^{v(m)+1}) + J^m (J^t \cap I^{v(t)+1}).$$

Let  $J = (z)$ . Then we have

$$(z)^{m+t} = z^{m+t} (I^{v(m)+1} : z^m) + z^{m+t} (I^{v(t)+1} : z^t).$$

From the definition of  $v(-)$ , both  $(I^{v(m)+1} : z^m)$  and  $(I^{v(t)+1} : z^t)$  are contained in the maximal ideal, and by the Nakayama Lemma, we must have  $z$  nilpotent, contradicting our assumptions.  $\square$

*Remark 3.3.* It is not always true that the ring  $\mathcal{B}$  is noetherian. For such an example see [2, Lemma 5.6].

Note that there are a few other natural conditions that ensure the periodic increase of the sequence  $\{v(m)\}_m$ . We comment on these below.

*Remark 3.4.* If the ring  $\mathcal{G}(I) = \bigoplus_{n \geq 0} I^n / I^{n+1}$  is reduced, then we have  $v(m) = mv(1)$  for all  $m$ . In particular, the sequence  $v(m+1) - v(m)$  is constant. Indeed, let  $x \in J \setminus I^{v(1)+1}$ . The image of  $x$  in  $I^{v(1)} / I^{v(1)+1} \subseteq \mathcal{G}(I)$  is nonzero, and since  $\mathcal{G}(I)$  is reduced, so is the image of  $x^m$  in  $I^{mv(1)} / I^{mv(1)+1}$ . This implies that  $J^m \not\subseteq I^{mv(1)+1}$ , and hence  $v(m) \leq mv(1)$ .

The point of view formulated in the above remark can be refined to include the case when  $J$  is not necessarily principal, but it comes at the expense of strengthening the hypotheses.

*Remark 3.5.* Assume that  $I$  is normal and  $J = (a_1, \dots, a_s)$ . Then for every  $m$  we have  $v_I(J, m) = \min\{v_I((a_j), m) \mid j = 1, \dots, s\}$ . Indeed, if  $n := \min\{v_I((a_j), m) \mid j = 1, \dots, s\}$ , then  $a_j^m \in I^n$  for all  $j = 1, \dots, s$ . This implies that  $J^m \subseteq \overline{J^m} = \overline{(a_1^m, \dots, a_s^m)} \subseteq \overline{I^n} = I^n$ ,

so  $v_I(J, m) \geq n$ . On the other hand, if  $v_I(J, m) > n$ , we have  $J^m \subseteq I^{v_I((a_j), m)+1}$  for some  $j$  and hence  $a_j^m \in I^{v_I((a_j), m)+1}$ , a contradiction. If  $I$  is normal and all the rings  $\bigoplus_{m,n} (a_j^m) \cap I^n$  are noetherian ( $j = 1, \dots, s$ ), by Proposition 3.2 we obtain that there exists  $t_j$  such that  $v_I((a_j), m + t_j) = v_I((a_j), m) + v_I((a_j), t_j)$  for  $m \geq t_j$ . If we have  $t_1 = t_2 = \dots = t_s = t$  (the sequences  $v_I((a_j), m)$  increase eventually in a periodic way with the same period), then we have  $v_I(J, m + t) = v_I(J, m) + v_I(J, t)$  for  $m \geq t$ . Indeed, by the above observation,  $v_I(J, m + t) = v_I((a_j), m + t_j)$  for some  $j$ , and hence  $v_I(J, m + t) = v_I((a_j), m) + v_I((a_j), t) \leq v_I(J, m) + v_I(J, t)$ . The other inequality always holds.

Note that in the situation described in Remark 3.4, when  $\mathcal{G}(I) = \bigoplus_{n \geq 0} I^n / I^{n+1}$  is reduced (which implies that  $I$  is normal) we have  $t_1 = t_2 = \dots = t_s = 1$ .

Our final observation introduces a bigraded ring associated to the ideals  $J$  and  $I$  that can be used in examining the periodicity of the rate of change of the sequence  $\{v(m)\}_m$ .

*Remark 3.6.* Let  $\mathcal{C}$  be the ring  $\bigoplus_{m \geq 0, n \geq 0} F_{m,n}$ , where  $F_{m,n} = J^m \cap I^n / J^m \cap I^{n+1}$ , with the multiplication defined naturally such that  $F_{m,n} F_{m',n'} \subseteq F_{m+m', n+n'}$ . Let  $F_m = \bigoplus_{n \geq 0} F_{m,n}$ . Note that  $F_m$  is a filtration on  $\mathcal{G}(I) = \bigoplus_{n \geq 0} I^n / I^{n+1}$  and  $F_{m,n} = 0$  for  $n < v(m)$ , while  $F_{m, v(m)} \neq 0$  for all  $m$ . As in the above remark, one can check that  $v(m+t) = v(m) + v(t)$  is equivalent to  $F_{m, v(m)} F_{t, v(t)} \neq 0$ .

So, if there exists  $t$  such that  $F_{t, v(t)}$  contains a nonzerodivisor on  $\mathcal{C}$ , then  $v(m+t) = v(m) + v(t)$  for all  $m$ . However, note that  $\mathcal{C}$  a domain implies that  $F_0 = \mathcal{G}(I)$ , the associated graded ring of  $I$ , is a domain as well, and then Remark 3.4 applies.

#### 4. COMPUTATIONS

In this section we describe a method of determining  $L_J(I) = \inf\{m/n \mid J^m \subseteq I^n\}$  (and  $l_I(J) = 1/L_J(I)$ ) for two monomial ideals  $I$  and  $J$  in a polynomial ring  $k[x_1, \dots, x_r]$  over a field  $k$ . Whenever  $J = (a_1, \dots, a_s)$ , one has  $L_J(I) = \max\{L_{(a_j)}(I) \mid j = 1, \dots, s\}$  ([8, Theorem 2]), so we may assume that  $J$  is a principal ideal. Let  $I = (x_1^{b_{i1}} x_2^{b_{i2}} \dots x_r^{b_{ir}} \mid i = 1, \dots, t)$  and  $J = (x_1^{c_1} x_2^{c_2} \dots x_r^{c_r})$ .

First observe that  $J^m \subseteq I^n$  if and only if there exist nonnegative integers  $y_1, \dots, y_t$  with  $y_1 + \dots + y_t = n$  such that

$$(4.1) \quad \sum_{i=1}^t b_{ij} y_i \leq c_j m \quad \text{for all } j = 1, \dots, r.$$

Set  $B_{ij} = (1/c_j) b_{ij}$ ,  $z_i = y_i / (y_1 + \dots + y_t) = y_i / n$  and  $z = (z_1, \dots, z_t) \in \mathbb{Q}^t$ .

So  $J^m \subseteq I^n$  if and only if there exist  $z_i = y_i / n$  with  $y_1 + \dots + y_t = n$  such that

$$(4.2) \quad m/n \geq \frac{1}{nc_j} \sum_{i=1}^t b_{ij} y_i = \sum_{i=1}^t B_{ij} z_i \quad \text{for all } j = 1, \dots, r.$$

Consider the function  $\alpha : \mathbb{R}^t \rightarrow \mathbb{R}$ ,  $\alpha(z) = \max_{1 \leq j \leq r} \{\sum_{i=1}^t B_{ij} z_i\}$  and the subsets of the rationals  $\Lambda_1 = \{m/n \mid J^m \subseteq I^n\}$  and  $\Lambda_2 = \{\alpha(z) \mid z_1, \dots, z_t \in \mathbb{Q}_{\geq 0}, z_1 + \dots + z_t = 1\}$ . We will prove that

$$(4.3) \quad \inf \Lambda_1 = \inf \Lambda_2$$

The inequality  $\geq$  follows from (4.2). For the other inequality, we will show that  $\Lambda_2 \subseteq \Lambda_1$ . Let  $\alpha(z) \in \Lambda_2$  with  $z_i = p_i / q$  ( $1 \leq i \leq t$ ,  $p_1 + \dots + p_t = q$ , and  $p_i, q$  nonnegative integers). The coefficients  $B_{ij}$  are rationals, so after clearing the denominators we obtain  $\alpha(z) = h/lq$

for some nonnegative integers  $h, l$ . By (4.2), since  $z_i = lp_i/lq$  for all  $i$ , we have  $h/lq \in \Lambda_1$ , which finishes the proof of (4.3).

Note that  $\inf \Lambda_2 = \inf\{\alpha(z) \mid z_1, \dots, z_t \in \mathbb{R}_{\geq 0}, z_1 + \dots + z_t = 1\}$ , so we need to minimize the function

$$\alpha(z) = \max\left\{\sum_{i=1}^t B_{ij}z_i \mid j = 1, \dots, r\right\}$$

subject to the constraints

$$z_1, \dots, z_t \geq 0 \quad \text{and} \quad z_1 + \dots + z_t = 1.$$

Let  $\Delta_k = \{z \in \mathbb{R}_{\geq 0}^t \mid \sum_{i=1}^t B_{ik}z_i \geq \sum_{i=1}^t B_{ij}z_i \text{ for all } j \neq k\}$ . Clearly  $\Delta_1 \cup \dots \cup \Delta_r = \mathbb{R}_{\geq 0}^t$ , so it is enough to minimize the function  $\alpha$  on each  $\Delta_k$ .

In conclusion, for each  $k = 1, \dots, r$ , the problem reduces to minimizing the objective function

$$\alpha(z) = \sum_{i=1}^t B_{ik}z_i$$

subject to the constraints

$$z_1, \dots, z_t \geq 0, \quad z_1 + \dots + z_t = 1 \quad \text{and}$$

$$\sum_{i=1}^t B_{ik}z_i \geq \sum_{i=1}^t B_{ij}z_i \quad \text{for all } j \neq k.$$

This is a classical problem linear programming problem which can be algorithmically solved using the simplex method.

*Remark 4.1.* In general, the limits  $l_J(J)$  and  $L_J(I)$  need not be reached by an element of the sequences  $\{v_J(J, m)/m\}_m$  and  $\{w_J(I, n)/n\}_n$ , respectively. However, in the monomial case, as the procedure described above shows, there exists a pair  $(m, n)$  with  $J^m \subseteq I^n$  and  $L_J(I) = n/m$ .

**Example 4.2.** Let  $A = k[x, y]$  and  $I = (x^3, x^2y, y^2)$ ,  $J = (x^3y^7)$ . In this case,  $b_{11} = 3, b_{12} = 0, b_{21} = 2, b_{22} = 1, b_{31} = 0, b_{32} = 2, c_1 = 3, c_2 = 7$  and  $B_{11} = 3/3 = 1, B_{12} = 0/7 = 0, B_{21} = 2/3, B_{22} = 1/7, B_{31} = 0, B_{32} = 2/7$ . Then

$$\Delta_1 = \{(z_1, z_2, z_3) \in \mathbb{R}_{\geq 0}^3 \mid z_1 + (2/3)z_2 \geq (1/7)z_2 + (2/7)z_3\}$$

and

$$\Delta_2 = \{(z_1, z_2, z_3) \in \mathbb{R}_{\geq 0}^3 \mid (1/7)z_2 + (2/7)z_3 \geq z_1 + (2/3)z_2\}.$$

By using a computer algebra system that has the simplex method implemented, one can obtain that the minimum on each of the sets  $\Delta_1$  and  $\Delta_2$  is  $2/9$ , and hence  $L_J(I) = 2/9$ .

In fact, the minimum can occur only at the intersection of various regions  $\Delta_k$  (in our case on  $\Delta_1 \cap \Delta_2$ ), for there are no critical points in the interior of  $\Delta_k$ .

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